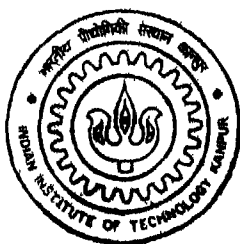# Preparation of Thick PZT Films by Sol Gel Method Using PVP as a Processing Aid and Their Characterization

by

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MATERIALS SCIENCE PROGRAMME

INDIAN INSTITUTE OF TECHNOLOGY, KANPUR

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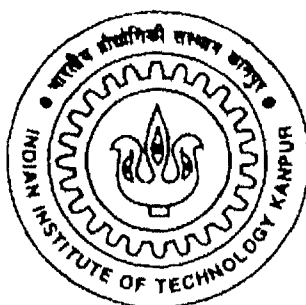
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A Thesis Submitted

in Partial Fulfillment of the Requirements

for the Degree of

MASTER OF TECHNOLOGY

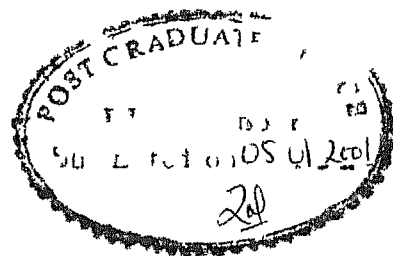*in*

MATERIALS SCIENCE

by

SHYAM DHAR DUBEY

to the

MATERIALS SCIENCE PROGRAMME

Indian Institute of Technology Kanpur

JANUARY, 2001

Certificate

This is to certify that the present work entitled **Preparation of Thick PZT Films by Sol Gel Method using PVP as a Processing Aid and their Characterization** by Mr Shyam Dhar Dubey has been carried out under my supervision and to the best of my knowledge it has not been submitted elsewhere for a degree

Dr D C Agrawal

Professor
Materials Science Programme
IIT Kanpur 208016

Dedicated to

My Parents

Acknowledgements

ABSTRACT

The sol gel process has been used with polyvinyl pyrrolodone (PVP) as an additive to prepare films of lead zirconate titanate (PZT) on Si substrates The PVP (monomer)/Ti molar ratio is varied from 0 to 2 Two sol concentrations (0 32 and 0 63 M) have been used Thickness of the film is found to increase with the initial addition of PVP and becomes nearly a constant as the PVP concentration is further increased The film thickness is very sensitive to the sol concentration increasing nearly linearly with it Films of thickness about 0 5 μm without cracks can be obtained in a single coating using PVP (monomer) / Ti molar ratio between 0 5 to 1 5 and a sol concentration of 0 63 This can be compared with the thickness of ~0 1 μm which can be deposited by single coating without using PVP The dielectric constant of the films increases rapidly on increasing the PVP (monomer)/ Ti ratio from 0 to ~0 5 and then becomes nearly constant Higher dielectric constant (~150) is obtained in films prepared using the more concentrated sol than that (~75) prepared using the thinner sol The dielectric constant is found to be nearly constant at frequency > 30 KHz but increases steeply as the frequency is decreased The films have significantly higher dielectric loss than thin films prepared without PVP using low concentration sol It is found that the concentration of the acetic acid plays an important role in determining the dielectric loss The dielectric constant of the films is found to increase with the film thickness from about 150 for 4 μm thick film to ~350 for a 11 5 μm thick film The electrical conductivity of the films was studied by impedance spectroscopy at different temperatures At higher temperatures the Cole Cole plots showed two arcs corresponding to grain and grain boundary conduction The data has been analyzed to obtain the conductivity of the grains and the grain boundaries

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Chapter 1

1 INTRODUCTION

1 1 Ferroelectric crystals

On the basis of geometry the crystals can be divided into seven crystal systems which are further divided into 32 point groups based on symmetry Out of these32 point groups 11 have center of symmetry 1 e these crystals have no polarity and the remaining are non centro symmetric thus out of these 21 20 show piezoelectricity (stress/strain dependent polarization) and 10 point groups out of these 20 are pyroelectric and exhibit temperature dependent polarization Some pyroelectric materials also show ferroelectric properties The most important property of ferroelectric materials is the presence of a hystersis loop the graph between the polarization and applied electrical field The polarization is reversed upon reversal of the applied electrical field and a certain polarization called the remnant polarization is present upon removal of the external field All the ferroelectric materials also exhibit piezoelectric properties

1 2 PZT ferroelectric ceramics

Lead Zirconate Titanate (PZT) has the pervoskite structure (ABX_3) In the pervoskite structure of ABX_3 A^{+2} (Pb^{+2}) occupies the corner positions of the cube X^{-2} (O^{-2}) ions

occupy the face centered positions and $B^{+4}(Zr^{+4}/Ti^{+4})$ occupy the body centered position

(Fig1 2) PZT can be considered as a solid solution of lead zirconate $PbZrO_3$ and $PbTiO_3$

The single crystals of the ceramic are ferroelectric (F) paraelectric (P) or antiferroelectric

(A) and have cubic (C) tetragonal (T) or rhombohedral(R) crystal structure depending

on the composition and temperature (Fig1 1) Various crystal structures are related as

shown in fig (1 4) Optimum ferroelectric and piezoelectric properties are obtained near

the morphotropic phase boundary (MPB) corresponding to the composition

$Pb(Zr_{0.535}Ti_{0.465})O_3$ with excellent ferroelectric properties
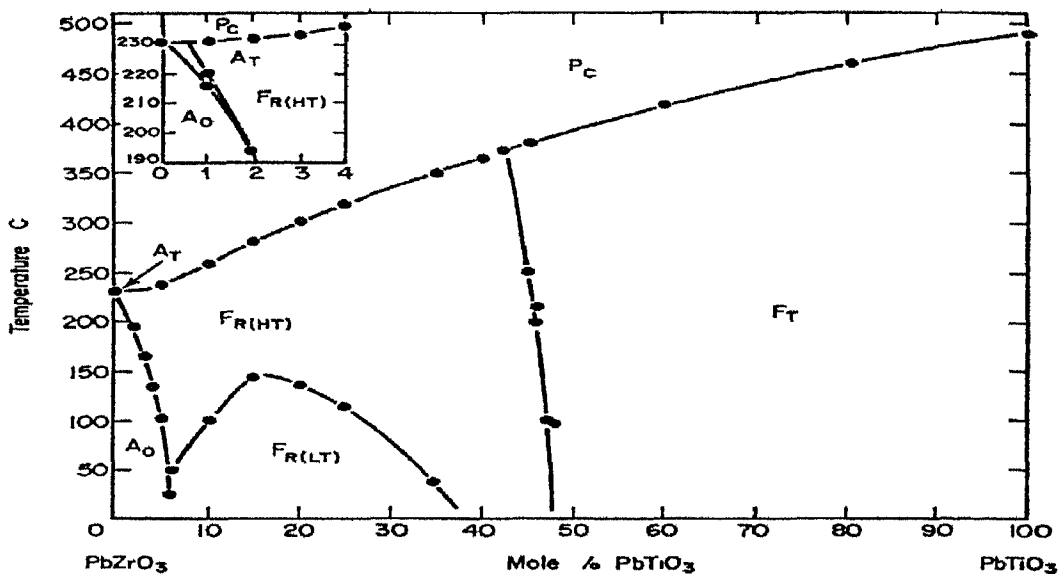
Fig 1 1 Phase diagram for PZT [31]
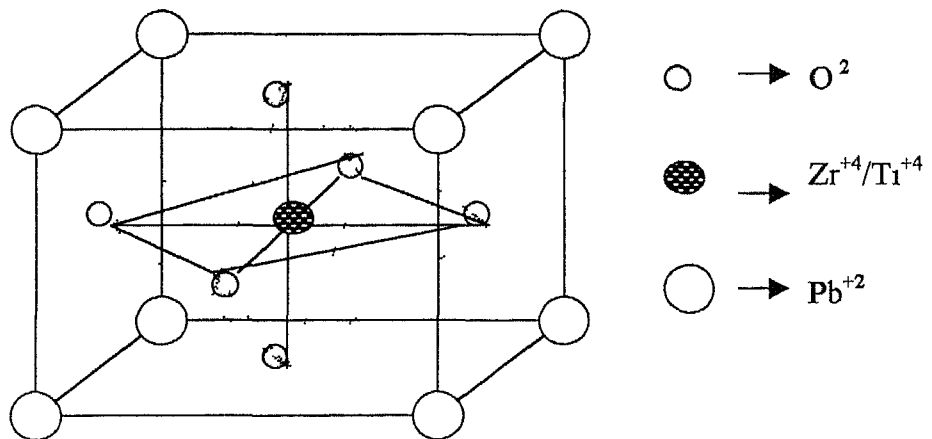
Fig1 2 Pervoskite structure of Pb (ZrTi)O$_3$

Above a temperature called Curie temperature PZT has cubic structure and in this case the centers of the negative ions and the body centered positive ions coincide As a result there is no spontaneous dipole moment in the cubic structure If the crystal is cooled below the Curie temperature the B^{+4} ion is shifted to one side of the body centered position while the oxygen ions (negatively charged ions) are shifted in opposite direction Due to this shift local dipole moment is created throughout the crystal (Fig 1 3) The dipoles of neighboring unit cells are aligned producing a large polarization in regions of the crystal called domains However the domains themselves are randomly aligned so there is no net polarization

3

Cubic pervoskit (ABX₃)   Polarized structure
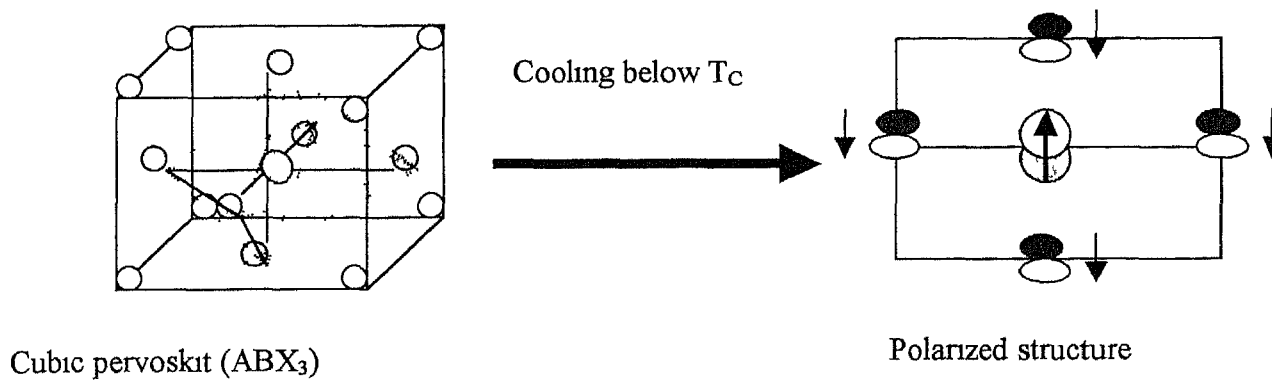
Fig1 3 Effect of cooling below the Curie temperature

Cubic (T>T_c)   Tetragonal (T< T_c)   Orthorhombic   Rhombohedral (T<<T_c)
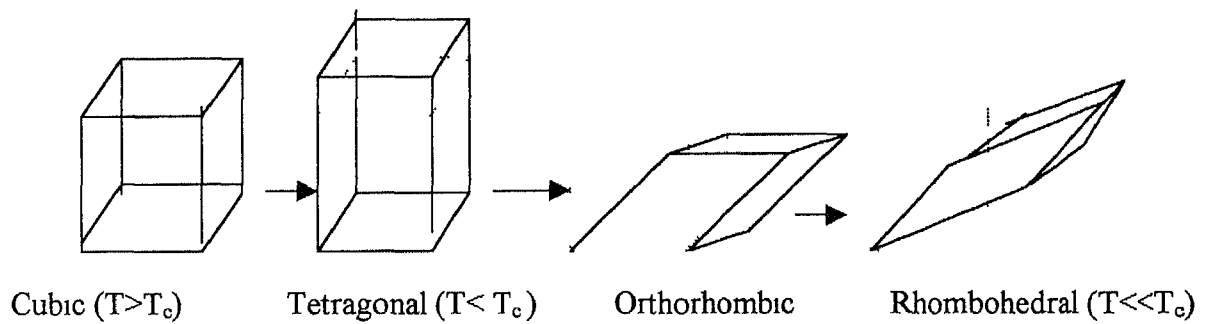
Fig1 4 Various crystal structures in PZT ceramic

To explain the ferroelectric properties of materials curie law was utilized with little

modification (Curie Weiss law)

$$\chi = \frac{N\mu^2}{3K(T - T_c)}$$

T_c = Curie temperature

χ = susceptibility and μ = dipole moment

4

Alignment of dipoles begins spontaneously at critical temperature (T_c) called Curie temperature Aligning of the dipoles in a parallel array with field increases the effective polarization

1 3 Effect of electrical field

At room temperature the ABO_3 crystal exhibits no net polarization in the absence of external electrical field i e the ferroelectric domains are oriented randomly in the crystal which results is no net dipole moment When an electrical field is applied the domains tend to align in the direction of the electrical field Thus a net dipole moment is created in the direction of the electrical field Thus a net dipole moment is created This is called poling

1 4 Hystersis loop

Hystrersis loop represents the relation between the polarization and the electrical field

P_s= saturation polarization (maximum polarization)

P_r= remnant polarization

E_c= coercive field (the amount of electrical field that required to bring the polarization to zero
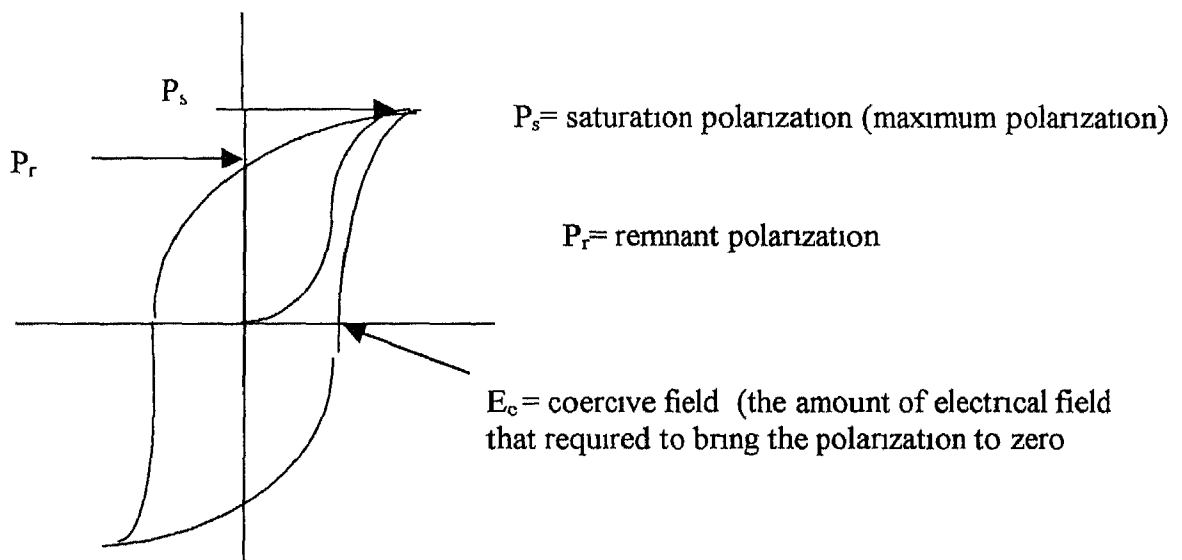
Fig 1 5 Hystersis loop (electrical field vs polarization)

It is the most important characteristic of ferroelectric materials In figure (1 7 and 1 8) there is no polarization at zero electric field in the virgin material On applying an external electrical field the polarization spontaneously increases with increase in the electrical field After some value of the electrical field the polarization reaches a nearly constant value called the saturation polarization (P_s) On decreasing the electrical field to zero there is still some polarization remaining in the sample this is called remnant polarization (P_r) Increasing the field in the negative direction brings the polarization to zero position This value of the field is called the coercive field (E_c) On further increasing the negative electrical field the polarization reaches a negative saturation value (P_s) Coming back to zero electrical field a negative remnant polarization results Thus electrical field vs polarization plot is a hysersis loop

The nature of the hystersis loop for ferroelectrical materials is also strongly dependent on temperature relative to the Curie temperature (Fig 1 6)

T< T_c T> T_c
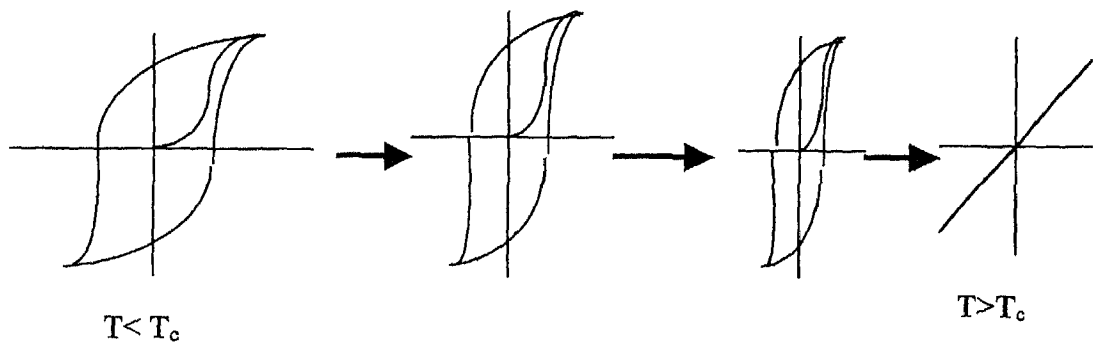
Fig 1 6   Effect of temperature (T_c) on nature of the hystersis loop of ferroelectric thin films

Remnant polarization (P) saturation polarization (P_s) and coercive field (E_c) values change with change in the temperature At low temperature the thermal activation for randomization of domains is low and the width of hystersis loop is large On increasing the temperature the coercive field (E_c) decreases and a narrower hystersis loop results On increasing the temperature beyond the T_c ($T > T_c$) the hystersis loop disappears and the material becomes paraelectric

1 5 Applications of ferroelectric PZT materials in device technology

1 Ferroelectric PZT thin films because of their excellent piezoelectric properties are used in various applications like microphones phonographs transducers actuators particularly in micro electromechanical devices (MEMS)

2 In the ferroelectric materials the polarization state can be switched between two distinct polarizations states which can be represented by corresponding binary states (0 and 1) used in digital electronics Since the polarization remains after removal of electrical field so the device can be utilized for non volatile memory application PZT devices show high switching speed and low switching power They also have good radiation tolerance

3 Capacitance of a parallel plate capacitor is given by

$$ C = \frac{Q}{V} = \frac{\xi_0 \zeta A}{d} $$

ξ = permittivity of free sphere
A = area of the plates
where Q = charge on each plates
d = distance between two plates

If the thin PZT film is deposited on a conducting surface e g platinum coated Si and another conducting layer (e g Pt) is deposited on it to act as the top plate of capacitor a

PZT filled parallel plate capacitor device is produced Domains in PZT align along the field and produce a field opposite the applied field as a result a reduction in the over all potential (V) across the two plates takes place and the capacitance increased

$$C = \frac{Q}{V} = \frac{\xi_0 \xi A}{d}$$

$$C \quad \alpha \quad \frac{1}{V}$$

Here ξ is the relative permittivity or dielectric constant of PZT
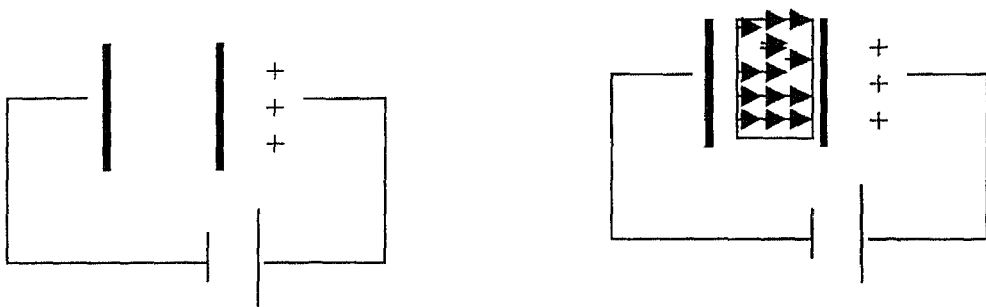
Fig 1 7(a) Capacitor without dielectric materials
(b) Capacitor with ferroelectric materials
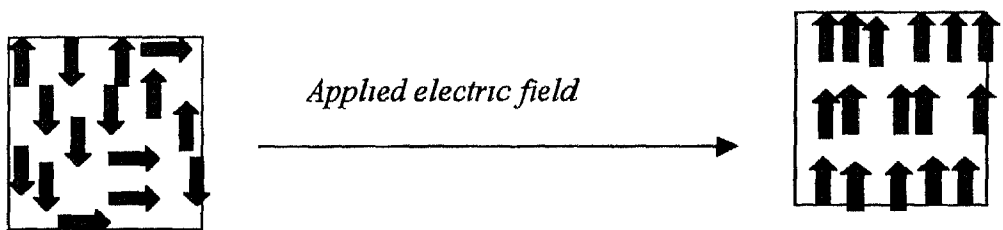
Fig 1 8 Effect of electric field on ferroelectric domain wall

4 For high density memory storage devices high capacitance is required so as to reduce the size of the individual storage capacitors For this purpose high dielectric constant materials are required High polarization of dipoles molecules creates large internal electric field which reduces the external field (C α 1/V) Due to the ability of storing more charges the area can be reduced which allows more packing density of capacitors within a small substrate PZT with it high dielectric constant is a candidate material for ferroelectric random access memory

1 6 Ferroelectric thick films

Much of the research on PZT films has been conducted on thin films (~0 2 μ m) primarily for non volatile memory application However for application in micro actuators pressure sensors and other micro-electromechanical devices much thicker films up to 10 μ m or thicker are needed Techniques such as CVD sputtering and sol gel have low deposition rate and are not suitable in their present form for preparation of thick films By the sol gel method for example the thickness of the film deposited by single step is < 0 1 μ m and several coatings are required to get a thickness of even 1 0 μ m Efforts to increase the thickness by a single coating by increasing the sol concentration leads to cracking of the film Cracks arise due to differences in thermal expansion coefficients of substrate and deposited film Film sticks to the substrate and is not able to shrink in the direction parallel to substrate surface during annealing As a result high stress (tensile) develops in the film, which causes crack formation In case of sol gel films the films as prepared are highly porous Their densification during heat treatment leads to generation of stress which eventually causes crack formation In the following we first describe the effect of the thickness on the properties of PZT films This

is followed by a description of the various techniques for the preparation of the PZT

films

1 6 1 Effect of thickness on properties of PZT films

1 6 1 1 Effect thickness on ferroelectric properties

Properties of PZT and other ferroelectric films depend strongly on the film thickness

This appears to be primarily related to the variation in the strain in the film with

thickness Increased thickness leads to better phase formation as revealed by sharper x

ray peaks and more homogenous microstructure Several investigators have studied the

dependence of electrical properties of PZT films on thickness [17 20]
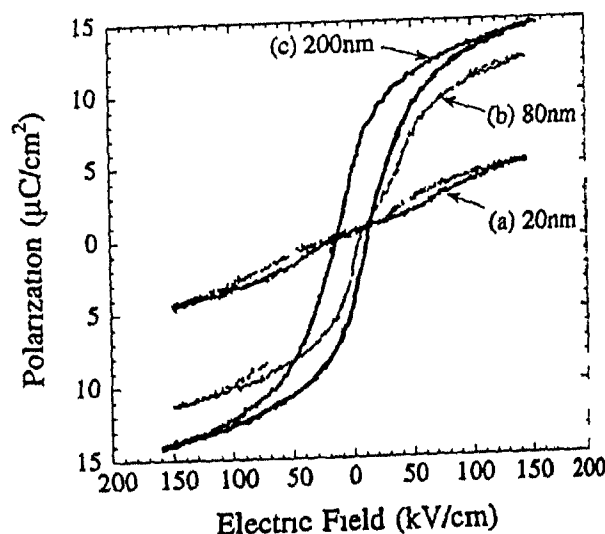
Fig 1 9 Effect of thickness on hystersis loop i e saturation polarization and remnant polarization [17]
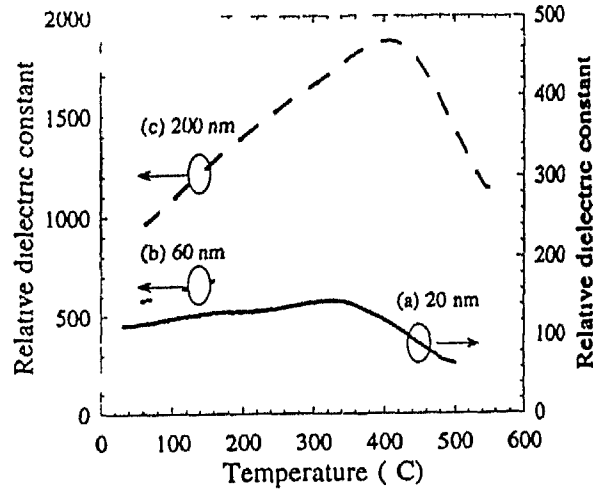
Fig 1 10 Plot between relative dielectric constant vs temperature for different thickness [17]

Fig (1 9) shows the change in hystersis loop with thickness [17] With increases in the thickness the loop becomes fills and approaches saturation showing higher remnant polarization P_r and saturation polarization P_S The dielectric constant of the PZT films also increases with increased the thickness [17] This has been attributed to the presence of an interfacial layer at the film electrode interface with a low dielectric constant [K Amanuma et al (18)] Thus the measured capacitance is the series combination of two layers

$$\frac{1}{C_{M\,asu\,d}} = \frac{1}{C_{Low}} + \frac{1}{C_{H\,gh}}$$

If d_{high} d_{low} and ξ_{high} ξ_{low} are the thickness and the dielectric constant of the two layer then

$$\frac{1}{C_{M\,asur\,d}} = \frac{d_{High}}{\xi_0\xi_{High}A} + \frac{d_{l\,w}}{\xi_0\xi_{L\,w}A}$$

where A is the area of the top electrode Using $d = d_{Low} + d_{H\,gh}$ one gets

11

$$\frac{1}{C_{M\,asu\,d}} = \frac{d}{\xi_0 \xi_{H\,gh} A} + \frac{d_{Low}}{\xi_0 A}\left(\frac{1}{C_L} - \frac{1}{C_{H\,gh}}\right)$$

Thus the measured capacitance and so the dielectric constant is reduced The relative contribution of low dielectric constant layer decreases as the film thickness increases

Effect of thickness on ferroelectric properties of PZT [Pt/Pb(Zr$_{0\,53}$ Ti $_{0\,47}$)O$_3$ / Pt/Ti/SiO$_2$/Si] has been studied by R Kurchania et al[4] Hystersis loop for thin film (0 25 μm) is broad inclined and has a significant internal bias field in the positive direction As the thickness increases the loop becomes squarer and more saturated At constant applied field of 150 kV cm^1 remnant polarization decreases progressively from 35 to 17 μC cm^2 on decreasing the thickness from 1 0 to 0 25 μm [Fig 1 11 and 1 12]
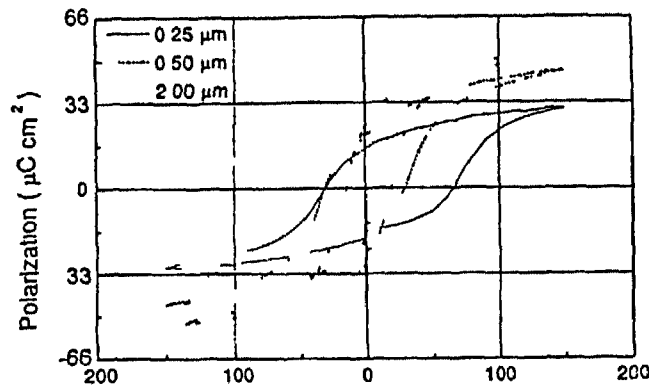
Fig 1 11 Applied electric field vs polarization for different thickness (0 25 0 50 2 0 μm)[4]
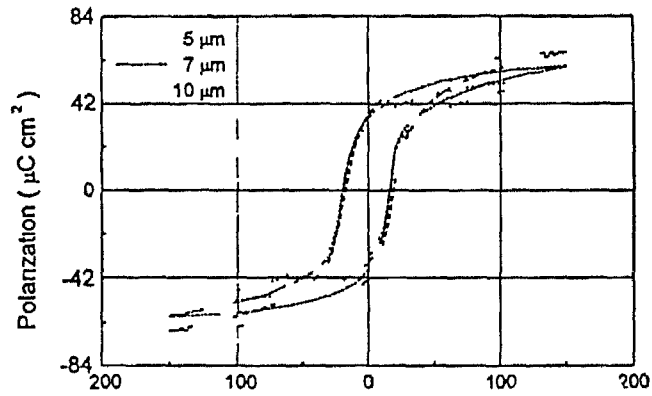
Fig 1 12 Applied electrical field vs polarization for different thickness (5 7 10 μ m)[4]

Beyond 2 μ m there is no further change in the nature of the loop though the values of P_r P_s increases slightly [Fig 1 12]
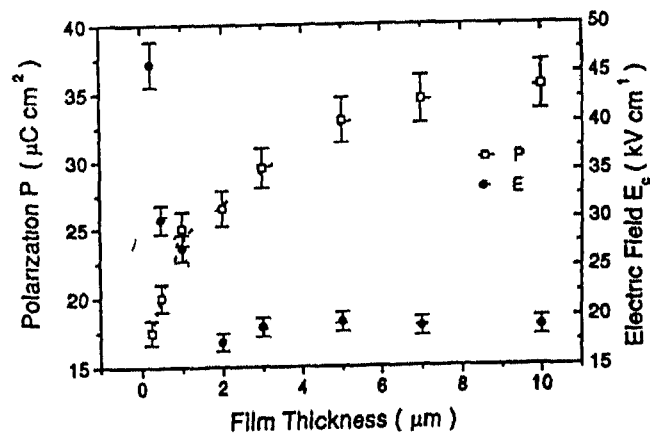
Fig 1 13 (a) Polarization (P_r) and coercive field (E_C) vs film thickness

(b) Film thickness vs saturation polarization [4]

The value of the coercive field was found to be almost constant (18 t0 19 KVcm[1]) for thickness between 2 to 10 μm and the increased sharply below 2 μm reaching 46 KVcm[1] for 0 25 μm Abrupt change in E_c denotes a change in the switching behaviors at thickness below 1 0 μm The values obtained for the various parameters in sol gel thick films are found to depend significantly on the specific route used Thus for acetic acid sol gel route as different from the propanediol route used by Kurchania et al [4] the coercive field changed little with thickness It varied between 30 32 KV cm[1] for all films in thickness range 0 6 −12 μm with the remnant polarization increasing from 17 μC cm[2] for a 0 5 μm sample to nearly constant value of ~27 μC cm[2] for thickness $\geq 3\,\mu$m

1 6 1 2 Effect of thickness on C-V characteristics

Effect of thickness on C V (capacitance vs applied voltage) curve has been studied by Sung Gap Lee et al [19] They concluded that switching voltage decrease with increase in the number of coatings due to diminishing of stress at the film electrode interface
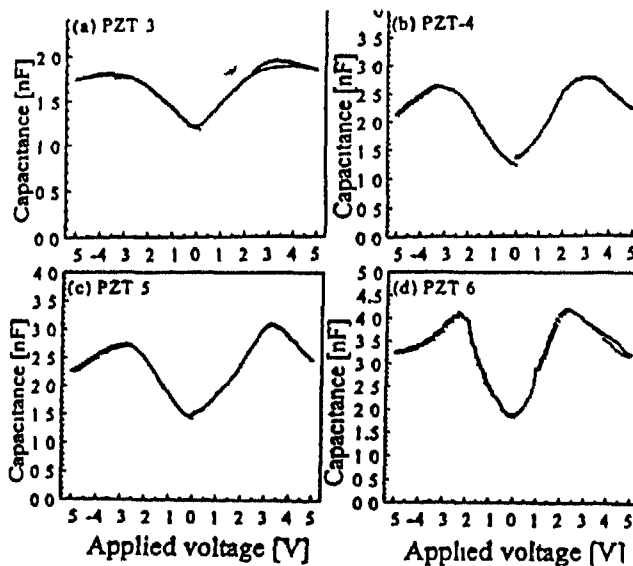
Fig 1 14 C V characteristics of PZT hetro layers films [19]

1 6 1 3 Thickness dependent leakage current density

Current –density electrical field characteristic has been investigated on Pb $(Zr_{0.53}Ti_{0.47})O_3$ film prepared by sputtering Effect of thickness of the PZT film on leakage current density has been studied by T K Kundu et al [20] in the range between 70 to 680 nm thickness This thickness range is important because it is useful for very large scale integration (VLSI) Different mechanisms dominate in different ranges of thickness Leakage current density has been plotted as a function of electrical field For thickness greater then 70 to 680 nm, various types of *log (J) log (E)* curves have been reported For thickness more then 280 nm, *log (J)-log (E)* behavior has been explained on the basis of GBLC (grain boundary limited conduction) mechanism GBLC mechanism is generally observed in heterogeneous ferroelectric materials where resistivity of the grain boundary is much higher then that of the grain Grain boundary potential energy (ϕ_B) dependent on grain boundary trap density (η_B)

$$\phi_B = \frac{e^2 \eta_B^{\,2}}{2\xi_0 \xi_r N}$$

η_B = grain boundary trap density

ξ_0 = permittivity of free space

N= dopant concentration

The relive permittivity, ξ_r decreases with increase in the electrical field until polarization reaches saturation, therefore the barrier potential energy also increases with increases the field as a result there is a reduction of *log (J) log (E)* slope

For thickness less then 280 nm, trap control mechanism is dominating This type of mechanism generally appears in quasi homogeneous thin films Grain size and dielectric

constant remain constant in this thickness range J E characteristic shows Ohmic behavior at low electrical field ($\cong 200 KV/cm$) and large increase in the current density at high field range Sharp increase in conduction current over small voltage range has been explained in terms of cross over voltage (V_x)

$$V_x = \left(\frac{eN_tL^2}{\xi}\right)e^{\frac{E_t - E_{F0}}{K_BT}}$$

where $E_t < E_{F0}$

E_t = single trap energy level

E_{F0} = equilibrium fermi level

L = thickness of the films

ξ_r = relative dielectric constant

N_t = total concentration of traps

Grain boundaries do not show any significant effect on J E properties

1 6 1 4 I-V Characteristics of thick films

Leakage current decreases with increase in the films thickness The leakage current is inversely proportional to d^3 (d=thickness of film) K Amanuma *et al*[18] explained the behavior of leakage current with thickness by using Child s law Space charge limited current dominates the leakage current

$$I = \text{Constant} \left(\frac{V^2}{d^3}\right)$$

I = current

V = applied voltage and d=thickness of film

Leakage current decreases with increase in the films thickness On the other hand leakage current increases with increase in the applied voltage

16

1 6 2 Processing of thick films

1 6 2 1 Screen-printing

Screen printing method has been reported by Futakuchi et al (10) Pyroelectric thick films of composition 0 92 $PbZrO_3$-0 03 $PbTiO_3$-0 05[Pb ($Zn_{1/3}$ $Nb_{2/3}$) O_3] (PZTZN) of 10 –30 μ m thickness have been prepared Powders of PbO TiO_2 ZrO_2 Nb_2O_5 and ZnO_2 were used as starting materials First $ZnNbO_6$ with columbite structure was prepared by pre calcinations at 1000^0C for 2 hr After that $ZnNbO_6$ PbO TiO_2 and ZrO_2 powders were mixed in an appropriate ratio Post calcination at 900^0C for 2 hr was done in a magnesia covered crucible The calcinated product was crushed and then ground by planetary ball milling for 3 hr The size of the ground powders was between 0 4 to 2 μ m The screen printable paste was prepared by mixing ethyl cellulose and α terpineol with ground powders (PZTZN) in three roller mill After screen printing of PZTZN paste by a 200 mesh screen mask on an appropriate substrate it s allowed to level for 10 min and dried at 120^0C for 10 min Before sintering the organic binder is burnt out at 600^0C for 10 min The firing of thick films has been done in magnesia covered crucible over a temperature range 1100^0C to 1200^0C for 2 hr The thickness of PZTZN film after sintering was ~25 μ m

Pb(Zr Ti)O_3 Pb(Zn Nb)O_3 thick film has also been prepared by a low temperature firing processing employing oxide powders and a glass ceramic [T Kubota et al (12)] Powder of 0 7 Pb(Zr Ti)O_3 0 3 Pb(Zn Nb)O_3 was obtained by mixing and calcination the powders of Pb_3O_4 Zn NbO_6 and $BaTiO_3$ Small amounts of precursor $BaTiO_3$ were added to stabilize the single pervoskite phase during the firing processing The Pb(ZrTi)O_3 and Pb(ZnNb)O_3 powders were mixed in appropriate ratios and calcined at $950^{\circ}C$ for 5 hrs

Glass ceramic of PbO TiO$_2$ ZrO$_2$ SiO$_2$ Bi$_2$O$_3$ containing nucleation initiators of TiO$_2$ ZrO$_2$ were used Crystallization of the glass ceramic studied separately was initiated at 540°C and Pb(ZrTi)O$_3$ pervoskite phase was formed near 670°C Necking among the glass ceramic particles was observed at 750°C The paste for thick film was prepared by mixing of 0 7 Pb (Zr Ti) O$_3$ 0 3 Pb (Zn Nb)O$_3$ powder lead based glass ceramic and organic vehicle(ethyl cellose α terpineol) This paste was printed on substrate (Pt coated ZrO$_2$ substrate) and dried at 150 C for 10 min and fired at 800°C 950°C for 3 hr The thickness of fired film was reported to be 50 μ m

1 6 2 2 Thick PZT glass film composite by plasma spray method

Thick films of PZT have been prepared by a plasma spray process by Sherril et al [11] Powder mixture of PZT and an aluminosilicate glass with particle size of 1 to 3 μ m was used The powders were plasma sprayed on to stainless steel substrate The film thickness ranged from 0 08 mm to 0 25 mm The dielectric constant of the film varied between 58 and 20 with dissipation factor between 0 019 to 0 032

1 6 2 3 PZT thick film by aerosol deposition method (ADM)

PZT film of 10 μ m thickness has been reported by Jun-Akedo et al [13] using aerosol deposition method (ADM) Aerosol deposition method (ADM) is a kind of gas deposition method (GDM) without vaporization of the material In GDM individual particles of ceramic material with diameter of approximately 0 1 μ m are mixed with carrier gas (He gas was used as carrier gas) to form a colloidal aerosol flow The colloidal aerosol was ejected through the micro orifice nozzle and deposited on to substrate The collision of the ultra fine particle (UFP) with the substrate converts a part of its kinetic energy to local thermal energy which promotes the bonding between the substrate and amongst the ultra

fine particles In aerosol deposition method the pressure in aerosol chamber was about 480 torr and that in the deposition chamber was 0 5 to 1 0 torr The nozzle has an orifice size of 5mm x 300mm The relative velocity of nozzle along the substrate was 0 125 to 1 25mm/s and distance between the nozzle and substrate was 2 5mm to 10 mm

Schroth et al [14] deposited thick PZT layers by gas deposition method using ultra fine particles with subsonic gas jet stream A sintered ceramic layer formed on the substrate By scanning the nozzle over the substrate repeatedly thickness of 100μ m was reported A damage layer forms at the interface between the PZT and the Si substrate The remnant polarization and coercive field improved on annealing the film mainly due to the crystal growth of small crystallites

1 6 2 4 Thick film by electrophoretic deposition

Thick film of PZT has been prepared by electrophoresis from suspension of commercially available PZT powder suspended in acetone with addition of nitric acid and nitrocellulose Films up to 32μ m thick have been reported by Sweeney T et al [15] by using electrophoresis deposition multi coating and sintering method

1 6 2 5 Thick film by interfacial polymerization

PZT thick film of 20μ m has been reported by Yamane *et al*[16] by interfacial polymerization using metal organic precursor Commercially available PZT precursor solution was diluted with hexane containing acetyl acetone as a chelating agent The solution was poured on water contained in a teflon reaction container of 88 mm inside diameter A translucent gel film was formed at the interface of the two immiscible liquids which floats on water It shrank as the evaporation of solvent proceeded until its

diameter decreased to about 45% of its initial value The dried gel film was almost pore free and its thickness was reported to be 10μ m

1 6 3 PZT thick film by sol-gel

1 6 3 1 The sol-gel process

In sol gel processing inorganic oxides and glasses are prepared by a wet chemical method Sol gel method is generally preferred for thin film preparation because of its unique advantages over other methods The sol-gel has the following advantages

1 Dopants can be easily introduced for desired electrical and physical properties

2 Good composition homogeneity is obtained

3 Processing is carried out at low temperature

4 Thickness of the films can be controlled by modifying the sol concentration and varying the number of coatings

However it has some disadvantages also

1 Long processing time

2 Residual fine pore after drying and annealing

3 Large shrinkages in films during heating and annealing which cause crack formation in films

Two type of sol gel processing are generally used

(1) **Colloidal processing**

In this a suspension of fine particles of size 1 1000 nm is used Particles form the gel network and subsequently sinter to yield a continuous film

(2) Polymeric processing

In this case different metal alkoxides are used as precursors All metals are capable of forming an alkoxide M $(OR)_x$ in which alkyl group is bonded to the metal by means of oxygen atoms In the sol gel method $M(OR)_x$ metal alkoxide is mixed with water and appropriate solvent (usually an alcohol) A small amount of acid and base is added to catalyze the reaction To avoid or minimize the self condensation some metal salt like chloride nitrates or acetate are also used Alcohol chloride nitrates and acetate play an important role for determination of the thickness and volume shrinkage During polymeric gel formation hydrolysis and condensation reaction take place simultaneously

1 6 3 1 1 Hydrolysis mechanism

Metal alkoxides and water are mixed together in common solvent which is usually an alcohol A small amount of an acid or a base acts as a catalyst Two type of reactions hydrolysis and condensation reactions take place simultaneously

(1) Hydrolysis

$$
\begin{array}{c}
\text{OR} \\
| \\
\text{RO—M—OR} \\
| \\
\text{OR}
\end{array}
+ \text{H—OH}
\underset{\text{Esterification}}{\overset{\text{Hydrolysis}}{\longleftrightarrow}}
\begin{array}{c}
| \\
\text{—M—OH} \\
|
\end{array}
+ \text{R—OH}
$$

(2) Condensation

$$
\begin{array}{c}
| \\
\text{—M—O—H} \\
|
\end{array}
+
\begin{array}{c}
| \\
\text{O—M—} \\
| \quad | \\
\text{H}
\end{array}
\underset{\text{Hydrolysis}}{\overset{\text{H}_2\text{O Condensation}}{\longleftrightarrow}}
\begin{array}{c}
| \quad | \\
\text{—M—O—M—} \\
| \quad |
\end{array}
+ \text{H}_2\text{O}
$$

$$
\begin{array}{c}
| \\
\text{—M—OH} \\
|
\end{array}
+
\begin{array}{c}
| \\
\text{RO—M—} \\
|
\end{array}
\underset{\text{Alcoholysis}}{\overset{\text{Alcohol Condensation}}{\longleftrightarrow}}
\begin{array}{c}
| \quad | \\
\text{—M—O—M—} \\
| \quad |
\end{array}
$$
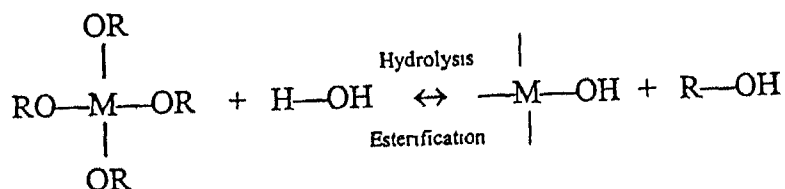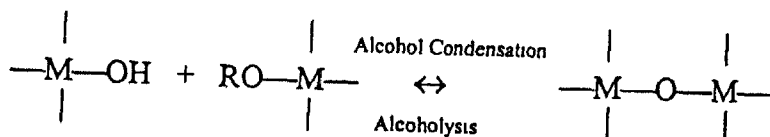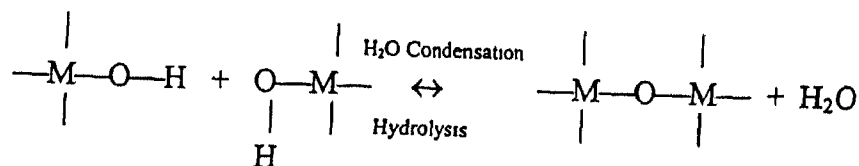
Fig 1 15 hydrolysis and condensation reaction   21

Acid-catalyzed hydrolysis

In this mechanism lone pair electron of oxygen of water attack on metal part ($Zr^{+4}/$ Ti^{+4}) of alkoxide called electrophilic reaction Partially hydrolyzed tetrahedral product results without inversion in configuration In acid catalyzed reaction substitution of one OR group of alkoxide with OH group takes place
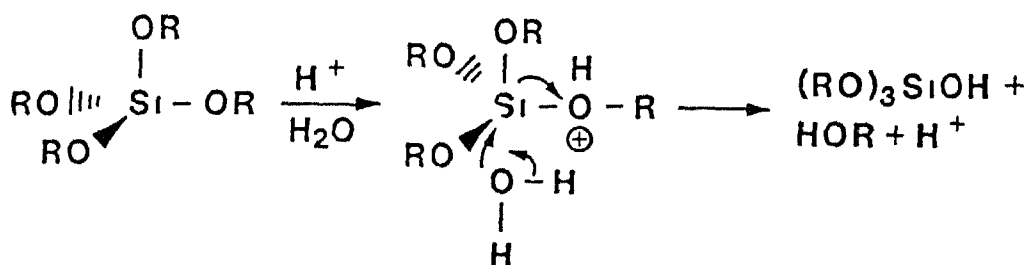
Fig 1 16 Acid catalyzed hydrolysis mechanism

In acidic condition partially hydrolyzed monomers are produced which result in more linear and lightly cross linked network

Base-catalyzed hydrolysis mechanism

This mechanism dominates at high pH, called nucleophilic substitution reaction In this mechanism, nucleophilic attack occurs on M^{+n}(metal) from opposite side of leaving group OR as a result tetrahedral inverted product is obtained
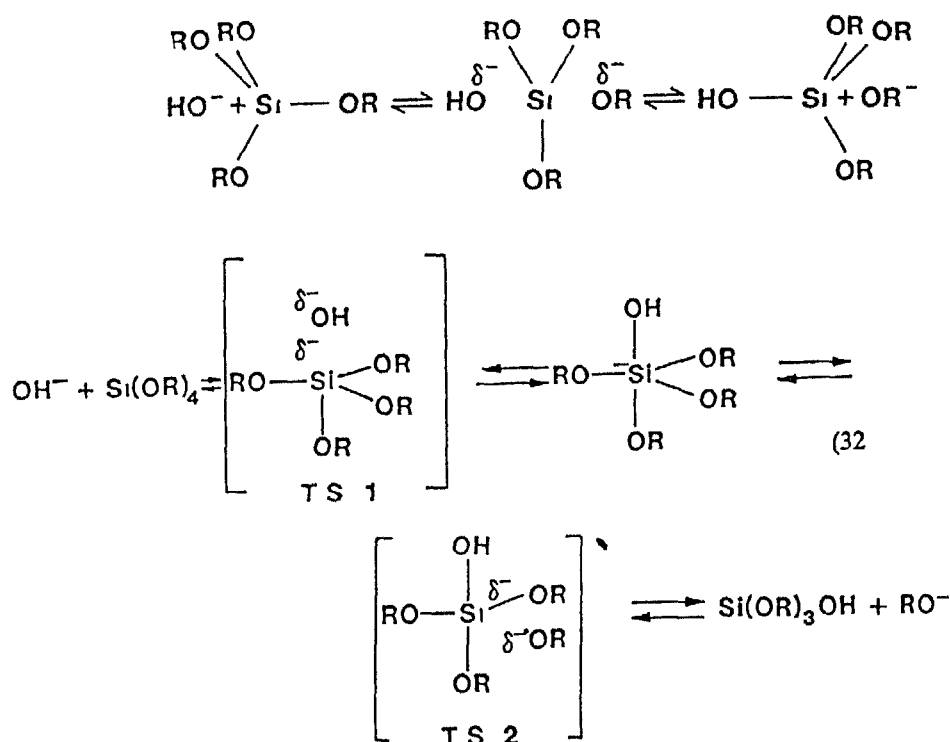
Fig 1 17 Base-catalyzed hydrolysis mechanism

1 6 3 1 2 Condensation mechanism

Gelation is the process in which the sol is transformed to 3-d network by elimination of water or some other group like alcohol Both hydrolysis and condensation reaction occur simultaneously The relative rate of both of these reactions depends on the experimental condition like solvent water alkoxide ratio inductive effect and type of chelating group Water is a by product of the condensation reaction so that increased amount of water will lead to reverse reaction which inhibits the condensation reaction (Le Chatelier s principle) Amount of acid and basic medium (hydrolyzing agents) determine the mechanism of reaction and pore size structure of gel

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1 6 3 2 Sol-gel process for thick films

In the conventional film deposition by sol gel method the sol is deposited on the substrate by methods such as dip coating or spin coating On drying and heating a dense film is obtained The thickness of the film made by a single coating is less than $0.1 \mu m$ This cannot be increased much by increasing the sol concentration alone due to the formation of cracks in the thicker films Several additives are used in the sol to avoid the crack formation by reducing the condensation so that the film can easily relax during shrinkage and the crack formation is avoided Some of the methods are described below

1 6 3 2 1 Thick film by using diol and acetylacetonate

$$CH_3COCH_2CH_3 \rightleftharpoons CH_3(OH)C=CC\,O(CH_3)$$
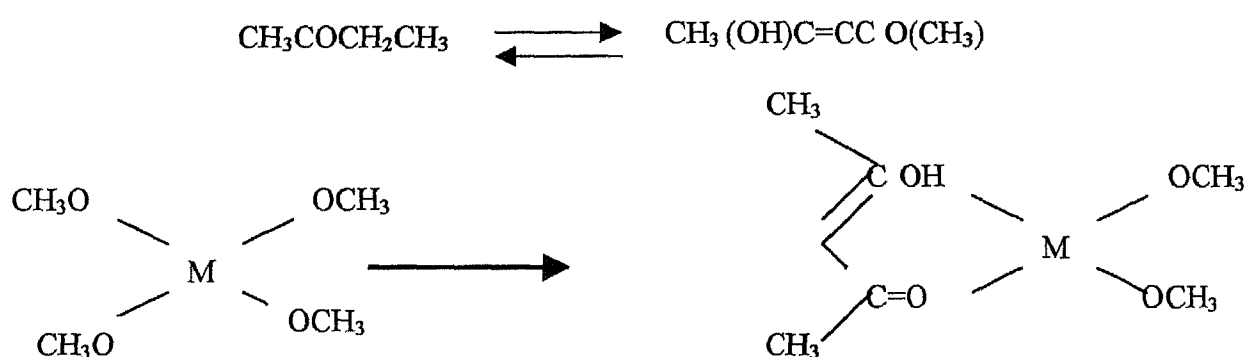
Fig 1 18 Role of chelating agent(Only two –OCH$_3$ group takes part in hydrolysis reaction Other two –OCH$_3$ group are protected by acetylactonate (chelating group)

Several diols like glycol propandiol butandiol and pentandiol have been used as solvent for $Pb(CH_3COOH)_2\,3H_2O$ The diols act as gel forming agent during gelation because of their ability to link Zr and Ti monomers Acetylacetonate also plays an important role during hydrolysis process It acts as a chelating agent and controls the processes of

hydrolysis and condensation Acetylacetonate replace the two $-OC_3H_7$ groups of $Zr(OC_3H_7)_4$ and $Ti(OC_3H_7)$ so these sites do not take part in the hydrolysis process Hydrolysis takes place only at the two remaining $-OC_3H_7$ positions As a result limited and controlled hydrolysis takes place During condensation process only these two $-OH$ groups take part As a result a more relaxed structure with reduced probability of highly stressed 3 d network is obtained Crack free thick films have been reported by using diol and acetylacetonate [2 5]

1 6 3 2 2 Thick films by using tri-alkoxy-silanes

Tri alkoxy silanes CH_3CH_2 M $(-OR)_3$ have one non $-$hydrolysable group as a result it does not take part in condensation reaction and avoids the formation of highly stressed 3 d network structure Thick films of 0 6 to 5 μ m without cracks have been reported using the dip coating process [F Del Monte *et-al (6)*]

1 6 3 2 3 Thick films by using progressive (step wise) heating schedule

Effect of progressive heating have been reported by J Koo et al [7] Uniform and crack free thick films have been reported by applying different heating schedules Due to slower and long heating thermal shock can be avoided and longer heating provides enough time to remove the organic and other residue PZT thick films of 8000 A° have been reported

1 6 3 2 4 Thick films by using suitable substrate

Most of the stresses are developed due to difference in thermal expansion coefficients of the substrate and the films during annealing process Alumina has comparable thermal expansion coefficient with PZT as a result nearly equal thermal expansion during heating (annealing) and shrinkage during cooling occur which inhibit the possibility of

stress in the film PZT films of thickness $600\,\mu$ m have been reported by A Schonecker et al [8] Thermal expansion coefficient of ZrO_2 is comparable to silicon substrate A thin coating of ZrO_2 over silicon substrate allows thick film to grow with reduced thermal stress in the film Microstructure and electrical properties have been improved by minimizing the stress developed in films using ZrO_2 coating over substrate [K G Brooks et al (9)]

1 7 Statement of problem

Several methods have been suggested to prepare thick film by sol gel processing In the present work a polymer Poly vinylpyrrolidone or (PVP) has been utilized to prepare thick films of PZT Hiromitsu Kozuka et al [25] used Poly (vinylpyrrolidone) for preparing crack free $BaTiO_3$ films of thickness $>1\,\mu$ m by single dip coating The present work is aimed at exploring the use of PVP for making of thick PZT films It is the aim of work to show the effect of sol composition and other variables on the thickness and properties of PZT films

Chapter 2

Experimental Procedures

2 1 Introduction

Different types of substrates for film deposition have been reported in literature like MgO(100) single crystal platinum fused quartz sapphire ZrO_2 and silicon In the present work Si(100) n type has been used as substrate for film deposition Si (100) has some advantages over other substrates In the (100) plane the silicon crystal has least number of bonds so that a minimum of interface–charge density Q_f exists on the surface Due to this minimum interface charge density it is generally chosen for fabrication of MOS (metal oxide semiconductor) and metal–ferroelectric–semiconductor devices Au Pd / $Pb_{1\,05}(Zr_{0\,53}Ti_{0\,47})O_3$/Au Pd / ZrO_x / Si (100) n capacitor has been prepared in present work with PZT as the ferroelectric material

PZT ferroelectric ceramic with composition $Pb_{1\,05}(Zr_{0\,53}Ti_{0\,47})O_3$ is deposited on Au Pd coated ZrO / Si (100) substrate Au Pd coating on substrate acts as the bottom electrode for PZT ferroelectric capacitor Au Pd top electrode was deposited by sputtering ZrO_2 coating over silicon substrate acts as the barrier for lead diffusion through interface For

bottom and top electrode Pt was also attempted in place of Au Pd but non conductivity problem arises during heating The problem with Pt electrode has been discussed in next section The complete system Au Pd / $Pb_{1\,05}(Zr_{0\,5}\,Ti_{0\,47})O$ /Au Pd / ZrO / Si (100) n acts as a capacitor with ferroelectric material PZT and Au Pd act as a bottom and top electrode

2 2 Sample preparation

2 2 1 Silicon wafer cleaning

RCA cleaning is generally used to clean silicon wafer (Appendix 1)

In the present work silicon wafer was dipped in a mixture of HF and distilled water (50 1) for 20 min After 20 min silicon wafer was transferred to triple distilled water After washing with triple distilled water it was dried in open air and kept in a sealed plastic container

2 2 2 Deposition of ZrO_2 film over Si (100) substrate by spin coating

Yushi Shichi et al (24) reported that Pb (a constituent of PZT) oxygen and silicon diffuse through the interface of PZT film and silicon at the film annealing temperature (~650°C) Activated oxygen diffuses into interface and reacts with elemental silicon of substrate and produces SiO

$$Si \;+\; O \longrightarrow SiO \qquad \Delta G = 765\,KJ/mole$$
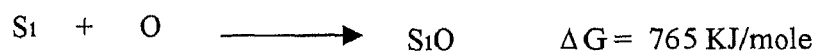
The resulting $SiO2$ reacts with PbO to form Pb SiO_4

$$SiO \;+\; 2PbO \longrightarrow Pb_2SiO_4 \qquad \Delta G = 39\,KJ/mole$$

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Pb_2SiO_4 accelerate the diffusion of silicon into films As a result lead deficient PZT layer forms at the interface of the PZT film and the Si substrate which cause degradation in dielectric constant and other properties

Use of several materials such as CeO_2 MgO $SrTiO_3$ and TiO_2 etc as buffer layer between Si and PZT has been attempted Zirconia (ZrO_2) has been found to be effective in inhibiting the diffusion of Pb to the underlying Si Horita et al (35) used a hetroepitaxial yttria stabilized Zirconia (YSZ) $(ZrO_2)_{1-x}(Y_2O_3)_x$ film as a buffer layer It has high chemical stability high resistivity and large dielectric constant of about 30 and can be hetroepitaxially grown on Si By making use of the fact that if the unit cell of the grown PZT (001) film and yttrium stabilized zirconia (YSZ) layer are rotated 45 from each other the lattice mismatch is low (10 9 %) The authors were able to obtain plane oriented PZT film in which a 10 nm thick YSZ buffer layer was able to prevent the PZT film from reacting with the Si substrate K G Brooks et al (9) M I Mealeto (21) N Yu (22) and A.Mehhner (23) in their work deposited thick PZT films on well polished ZrO_2 substrate and they concluded that the high thermal expansion of ZrO_2 relative to Si (100) allows the deposition of thicker layer of PZT with reduced thermal stress Electrical properties can be improved by minimizing the thermal stress (21 24)

Hence in present work we have deposited a ZrO_2 buffer layer on Si by a sol gel method

Table 2 1 Specification of the chemicals used for sol preparation for ZrO_2 coating

S No	Name of compound	Mol Wt	Density	Concentration	Product detail
1	Zr(n Propoxide)	327 57	1 05	0 5 M	Fluka chemika
2	Diethanal amine (DEA)	105 14	1 098	0 37M	S D Fine Chem
3	Isopropyl alcohol	60 10	12 45		S D Fine Chem
4	H_2O (triple distilled)	18	1 0	0 9M	-

2 2 2 1 ZrO_2 sol preparation

For preparation of ZrO_2 sol Zr(n propoxide) was taken as the Zr source Diethanolamine (DEA) is used as a chelating agent and isopropyl alcohol as a solvent for DEA and Zr(n propoxide) DEA, 0 71 ml is mixed with 7 9 ml isopropyl alcohol in 50 ml beaker This solution was stirred with the help of a magnetic stirrer for 30 min Isopropyl alcohol (7 9 ml) and water (0 324 ml) are taken in another 50 ml beaker and stirred for 30 min In the first mixture (solution of DEA and isopropyl alcohol) 3 1 ml of Zr(n Propoxide) is added drop by drop and mixing carried out for one hour After complete mixing a clear solution of DEA, isopropyl alcohol and Zr (n Propoxide) is obtained This solution is added to the solution of isopropyl alcohol and water drop by drop and stirring carried out for another one hour After this a clear sol of ZrO_2 is obtained This sol is stored in an airtight plastic container The specification of the chemicals used for sol preparation is given in Table 2 1 The details of the calculation for amounts of the various ingredients used are given in Appendix 2 The flow chart of sol preparation is given in fig2 1

```
┌─────────────────────────────┐              ┌─────────────────────────────┐
│ DEA (0 71 ml)  + Isopropyl   │              │ Isopropyl Alcohol  (7 9 ml) │
│ Alcohol (7 9 ml)             │              │   +    Water (0 32 ml)      │
└─────────────────────────────┘              └─────────────────────────────┘
              │                                             │
              ▼                                             ▼
┌─────────────────────────────┐              ┌─────────────────────────────┐
│ Mixing  For  30 min         │              │ Mixing for  30 min          │
└─────────────────────────────┘              └─────────────────────────────┘
              │        ┌─────────────────────┐             │
              │◄───────│ Add 3 1 ml          │             │
              │        │ Zirconia Propoxide  │             │
              ▼        └─────────────────────┘             │
┌─────────────────────────────┐                            │
│ Stirring for one hours      │                            │
└─────────────────────────────┘                            │
              │                                             │
              ▼                                             ▼
┌─────────────────────────────┐              ┌─────────────────────────────┐
│ Clear solution  1           │              │ Clear Solution  2           │
└─────────────────────────────┘              └─────────────────────────────┘
               \                               /
                \                             /
                 ▼                           
┌─────────────────────────────────────────────────────────────────┐
│ Mixing clear solution (1) with clear  solution (2) for  one hour  │
└─────────────────────────────────────────────────────────────────┘
                          │
                          ▼
          ┌─────────────────────────────────────┐
          │ Clear SOL   obtained for            │
          │ deposition ZrO$_2$  thin films      │
          └─────────────────────────────────────┘
```
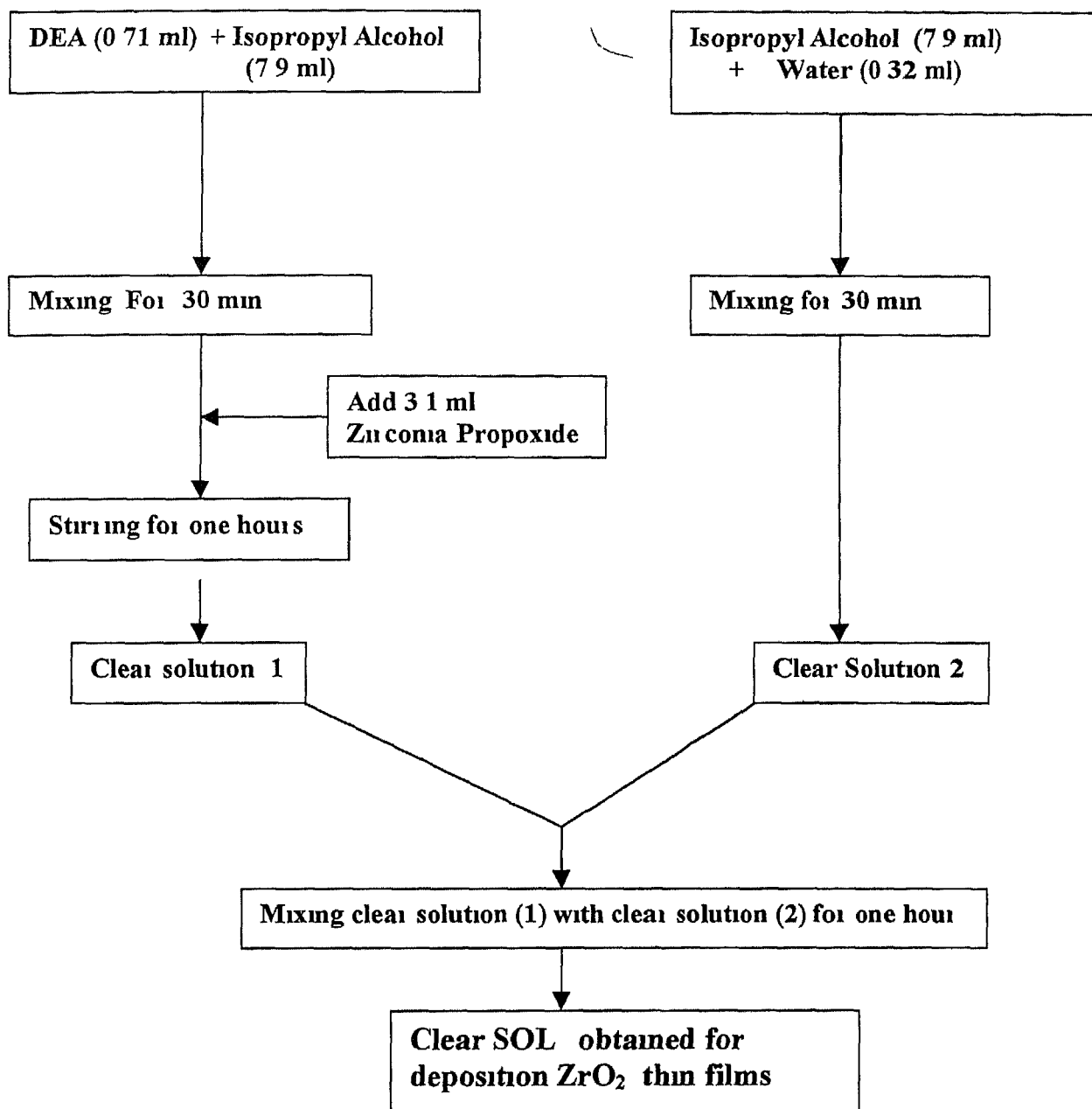
Fig 2 1 Flow chart diagram for ZrO$_2$ Sol preparation

2 2 2 2 Deposition of ZrO_2 film

Spin coating method has been utilized to deposit thin films of ZrO_2 on Si substrate The speed (RPM) of spinning and concentration of the sol are two important factors in determining the thickness of the film The viscosity of the sol is also an important factor in controlling the thickness of the film Thickness of the film is inversely proportional to rotational velocity of spinner The rotational speed of spinner was kept constant at 2000 RPM during the spin coating After deposition the film is heated at 400^0 C for 5 min in a furnace in air Only one coating of ZrO_2 was deposited on silicon substrate

2 2 3 Deposition of Au-Pd bottom electrode on ZrO_2/Si (100) by sputtering

An attempt was first made to deposit a Pt bottom electrode by using a Pt target fabricated in laboratory However after the heat treatment during the multiple coating deposition the Pt layer was found to became non conducting Hence it was decided to use a layer of Au Pd as bottom electrode because the target for this was available in the laboratory In present work Au Pd thin layer was deposited on ZrO_2/Si(100) substrate by sputtering using HUMMER(5 A) sputtering system (Anatech Ltd)

For sputtering following conditions were used

Vacuum in sputtering chamber = 80 millitorr

Plasma discharge current = 10 milli ampere

Voltage control setting = 3 4

Total time for sputtering=10 min
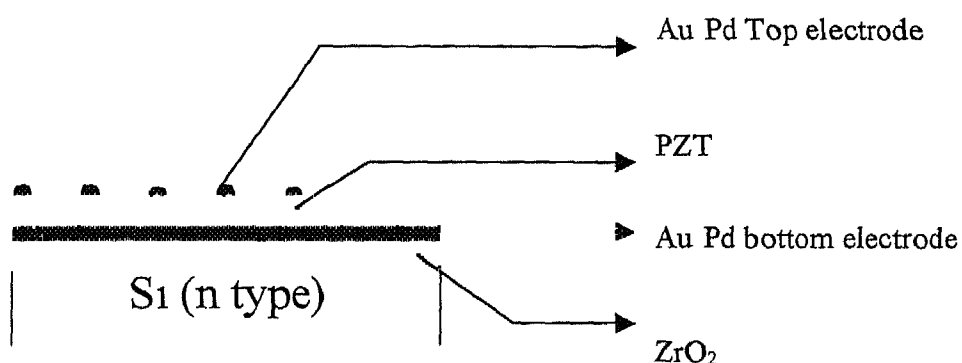
Fig 2 2 Schematic of the Au Pd/PZT/Au Pd/ZrO₂/ Si(100) sample

The film was found to have a low resistance when checked by a multi meter The conductivity did not changed after deposition of the film

2 2 4 Deposition of PZT films on Au-Pd /ZrO₂ /Si (100) substrate by spin coating

Thick films are useful for several important applications The main problem in thick film preparation by sol gel is the generation of cracks in the films due to the tensile stress produced during film densification and annealing During annealing the film sticks to the substrate and is not able to shrink in the direction parallel to the substrate due to constrain of the substrate As a result high tensile stress ($\cong$ 100 MPa) appears in the films which causes crack formation in the films [Hiromitsu Kozuka et al (25)]

Stress generation and
crack formation in films
on heating

Condensation reaction
in gel films

Gel film
Substrate

Tensile stress
generation

Crack formation

Gel pore

(a)   (b)
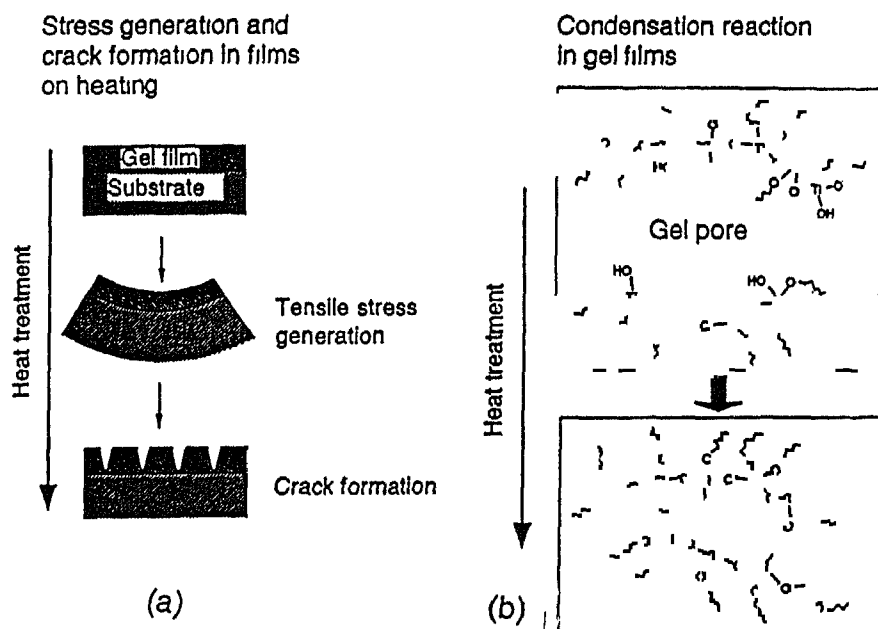
Fig 2 3   (a) Tensile stress evolution and crack formation in gel under heat treatment
           (b) Condensation and pore collapse in gel films under heat treatment
           [H Kozuka and M Kajimura (25)]

Condensation reaction plays a major role in crack formation process in the sol gel

derived film By minimizing the condensation reaction the stress developed in the film

can be minimized and crack free film can be grown In our present work to minimize the

condensation reaction in the gel an organic polymer with amide group namely (PVP)

poly (vinylpyrrolidone) of mol wt 40000 has been introduced in sol during preparation

of the PZT

PVP   VP
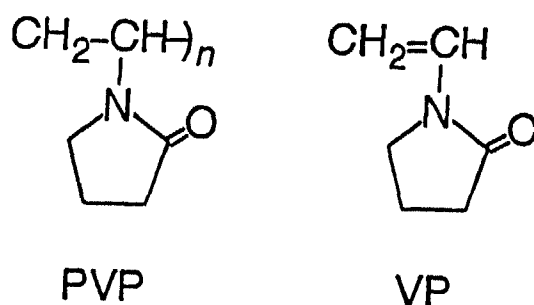
Fig 2 4   Poly (vinylpyrrolidone) PVP and N vinyl 2 pyrrolidone (VP) [25]

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PVP with carbonyl group acts as a protecting group for –OH group of metalloxane polymer by forming strong hydrogen bond between carbonyl group of PVP and –OH group of metalloxane Due to strong hydrogen bonding the condensation reactions are suppressed As a result more structural relaxation can occur in the films at high temperatures Due to capping of metaloxane polymer with carbonyl group of PVP high density thick films of BaTiO₃ without cracks have been prepared by single dip coating (25)
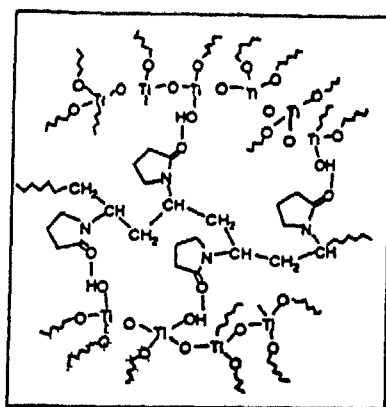
Fig 2 5 PVP inhibiting the condensation reaction in gel films by protecting the –OH group by carbonyl group PVP [25]

2 2 4 1 Preparation of PZT sol

The details of the chemicals used to prepare the PZT sol of desired compositions are given in table 2 2 A flow chart for the sol preparation is given in figure (2 5) The calculations of the amount of the various ingredients are given in Appendix 3

Table 2 2 Chemical used for $Pb_{1.05}(Zr_{0.535}Ti_{0.465})O_3$ sol preparation

S N	Name of the compound	Mol Wt	Density	Yield (%)	Source
1	$Pb(CH_3COO)_2 3H O$	379 33	2 55	99 70	E Merck
2	Zr(n Propoxide)	327 58	1 05	74 88	Fluka
3	Ti(n Propoxie)	340 35	0 995	99 99	Alfa
4	CH COOH	60 00	1 045		NICE
5	Isopropanol	60 10	1 43		NICE
6	PVP(polyvinylpyrrolidon)	111 0(monomer) Polymer (40000)			SRL (Sisco Research Lab)

Table 2 3 Amount of PVP for PZT with different PVP(monomer)/Ti molar ratio

S No	Sample No	Amount of PVP(gm) for different PVP/Ti ratio
1	PZT (no PVP)	0 00
2	PZT (PVP/Ti=0 2)	0 103
3	PZT (PVP/Ti=0 5)	0 25
4	PZT (PVP/Ti=1 0)	0 516
5	PZT (PVP/Ti=1 5)	0 774
6	PZT (PVP/Ti =2 0)	1 032

Pb $(CH_3COOH)_2$ $3H_2O$ =3 99 gm $+$ CH_3COOH= 2 52 gm	Ti(4 Butoxide)=1 52 gm $+$ Zi (4 Piopoxide) =2 34 gm

Mixing foi 30 min → Mixing foi 30 min

Add CH_3COOH [1 20 gm]

Heating at 110 °C + Stiiring → Mixing foi 20 min moie

Add PVP (Dissolved in isopropyl alcohol

Cleai solution (1)   Clear solution (2)

1 Solution (2) is transfeiied to solution (1) diop by diop,
2 Add 5to 6 drops Tiiple distilled watei
3 Maintain temperatuie at 90 °C
4 Stiiing the mixtuie for moie then 30 min

Sol of PZT with PVP

Spin coating + heating

Thick films of
$Pb_{1\ 05} (Zr_{0\ 535}\ Ti_{0\ 465})\ O_3$

Fig 2 6   Flow chart for sol preparation of $Pb_{1\ 05}(Zr_{0\ 535}Ti_{0\ 465})O_3$ with PVP

Typically for the preparation of a sol with PVP/Ti ratio 1 1 the following procedure was used Titanium butoxide 1 582 gm (yield 99 9%) was weighed in a 50 ml beaker inside a glove box in dry air (RH 30%) In this beaker 2 34 gm of zirconia propoxide (yield 74 88%) is also weighed This mixture is stirred for 30 min with the help of a magnetic stirrer To this was added 1 20 gm of acetic acid drop by drop PVP (0 516 gm) was taken in a beaker and to it was added isopropanol and the mixture stirred The amount of isopropanal is just sufficient to obtain a clear solution of PVP This PVP solution was added drop by drop to the alkoxide mixture and the resulting solution was allowed to mix for 30 min In a glove box 3 99 gm of lead acetate was taken in a three necked flask (the lead acetate was not analyzed for additional moisture absorption so there may be uncertainty in composition) Acetic acid of 2 52 gm was added to lead acetate The three necked flask with mixture of lead acetate and acetic acid was heated in an oil bath and the temperature was maintained at 90^0C for 30 min with constant stirring The alkoxide solution was then added to lead acetate solution drop by drop at temperature 90^0C and also 5 to 6 drops of triple distilled water were added during mixing This mixing process was continued for another 30 to 40 min until a clear sol obtained This clear sol is cooled to room temperature After completion of this process volume of sol obtained was 12 to 15 ml A solution of isopropanol and acetic acid mixed in equal volume is prepared and this is used to bring up the volume of the sol to 16 ml This gives a sol of concentration of 0 63 M A part of this was further diluted to the 0 315 M by adding equal volume of isproanol acetic acid mixture Both the sols were stored in sealed plastic container The various steps in device preparation are illustrated in fig2 7

2 2 4 2 PZT thick film deposition

Sol of $Pb_{1\,05}(Zr_{0\,53}Ti_{0\,46})O_3$ has been deposited on Au Pd/ZrO_2 /Si(100) by spin coating It has been reported that thickness of the film depends on the following factors

(1) Ratio of titanium propoxide and PVP

(2) Molecular wt of PVP polymer Higher the molecular weight of polymer more thickness of the films can be grown without cracks

During spin coating the rotational speed of spinner was kept constant at 2000 RPM For deposition only one drop of PZT sol has been used in single step and then coated PZT film substrate is heated at 400^0C for 5 min in presence of air This process is repeated several times according to requirement of thickness After every coating and drying at 400^0C film surface was observed to check its microstructure and presence of cracks by using optical microscope at 100 magnification In the present work 12 to 15 coatings have been deposited and no cracks were found After getting desired thickness the film was annealed at 650^0C for 40 min in the presence of air to get appropriate morphology After annealing at 650^0C Au Pd top electrode was deposited on PZT films by sputtering using a mask After deposition Au Pd top electrode the film is heated to 400^0C for 5 min The sandwiched PZT layer (ferroelectric) between bottom and top Au Pd electrode acts as capacitor

Si (n type)

Deposition of **ZrO$_2$** by spin coating (RPM 2000)

Drying at 400 °C for 5 min

ZrO → Si (n type)

Au Pd coating by sputtering
Heating at 300 °C (for 5 min)

Au Pd →
ZrO → Si (n type)

PZT layer by spin coating
Heating at 400°C for 5 min

(Spin coating and heating is repeated several times for multi coating of PZT)

Annealing at 650° C for 45 min

PZT →
Au Pd →
ZrO → Si (n type)

Au Pd electrode deposition by sputtering (using mask)

Au Pd →
PZT →
Au Pd →
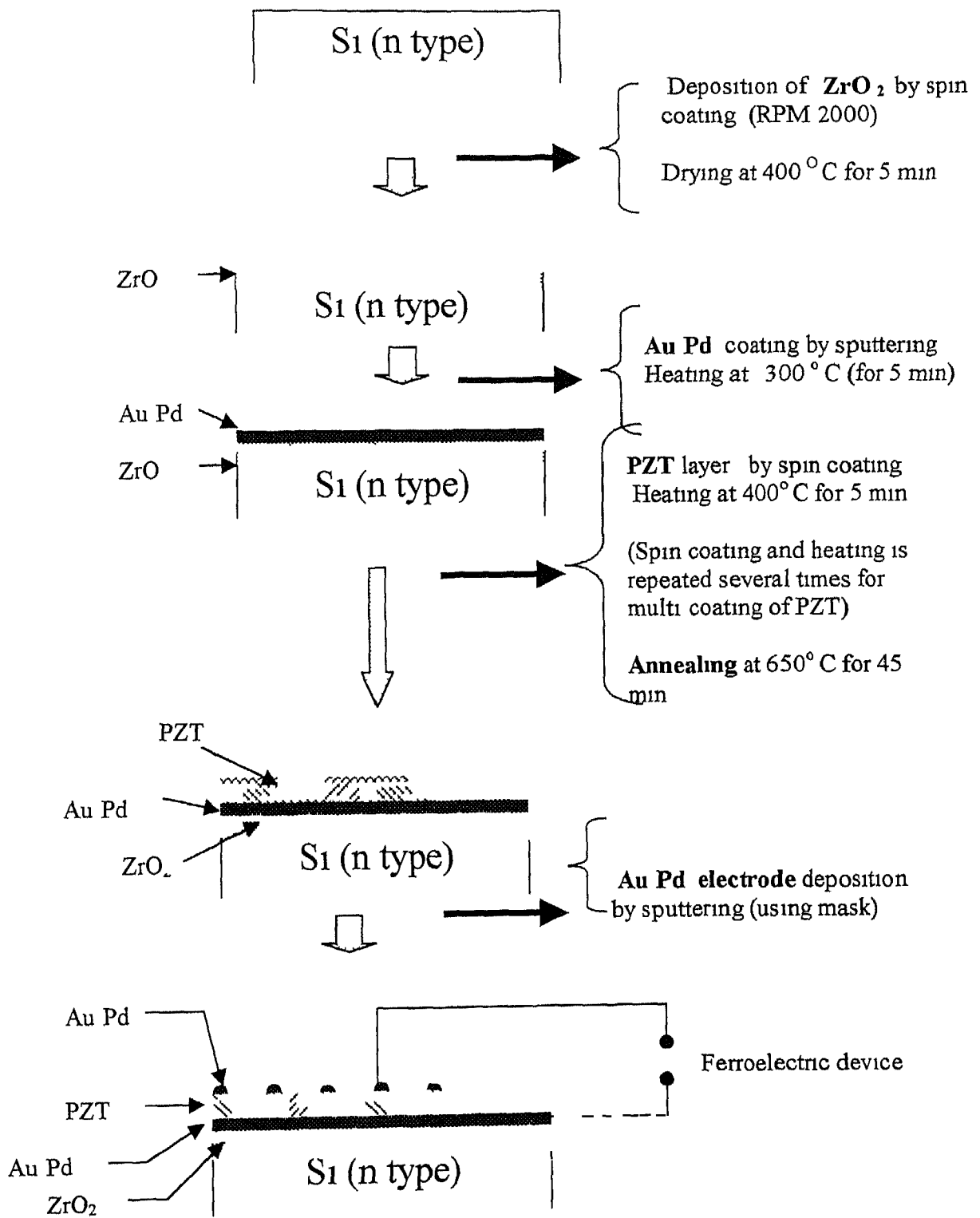ZrO$_2$ → Si (n type)

Ferroelectric device

Fig 2 7 Au Pd/PZT/Au Pd/ZrO$_2$/Si(100) sample preparation

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2 3 CHARACTERIZATION

2 3 1 X-Ray diffraction

The X ray diffraction of thick films is carried out to determine the phases and lattice parameter of PZT X ray diffractometer (Rich Seifert Iso Debyeflex 2002 Germany) has been used for X Ray diffraction of PZT thick films CuKα radiation (λ=1 5405 A) is used Following operating conditions have been used

Characteristic	Values
Accelerating voltage	30 kV
Accelerating current	20 mA
Scanning speed	3^0/min in 2θ
Chart speed	30 mm/min
Time count	10 sec
Count per min (CPM)	2k

The value of the inter planer spacing (d) is used to calculate the index of an X ray line using the Bragg relation

$$2d \, \mathrm{Sin}\theta = n\lambda$$

where θ =Bragg angle

n= order of diffraction

λ = X ray wave length (1 54 A°)

For tetragonal system

$$\frac{1}{d^2} = \left(\frac{h^2 + K^2}{a^2}\right) + \left(\frac{l^2}{c^2}\right)$$

where h k and l are miller indices of the tetragonal system

2 3 2 Complex impedance analysis
2 3 2 1 Introduction
Sandwiched PZT thick layer between top and bottom (Au Pd) electrode acts as a

ferroelectric capacitor with some dielectric values The equivalent circuit for such

arrangement can be expressed as a parallel combination of a resister (R) and capacitor (C)

for R C parallel combination Net impedance Z (ω) can be represent as follow

$$\text{Net Impedance Z }(\omega) = \frac{R\left(\dfrac{1}{j\omega C}\right)}{R + \left(\dfrac{1}{j\omega C}\right)}$$

where

R= resistance

C=capacitance

ω= frequency

$$\text{Impedance Z }(\omega) = \frac{R}{Rj\omega C + 1}$$

$$= \frac{R}{1 + j\omega CR^2}\left(\frac{1 - j\omega CR}{1 - jwCR}\right)$$

multiplying numerator and denominator by (1 $j\omega CR$)

$$= \frac{R(1 - j\omega CR)}{1^2 - \left(j\omega CR\right)^2}$$

$$= \frac{R - R^2 j\omega C}{1 + \omega^2 C^2 R^2}$$

$$= \left(\frac{R}{1 + \omega^2 C^2 R^2} \right) + j \left(\frac{-R^2 \omega C}{1 + \omega^2 C^2 R^2} \right) \quad \text{————— (1)}$$

$$= Z + j Z \quad \text{————— (2)}$$

On comparing equation (1) and equation (2)

$$Z = \left(\frac{R}{1 + \omega^2 C^2 R^2} \right) = Z\_Cos\,\theta \quad \text{—————(3)}$$

$$Z \left(\frac{-R^2 \omega C}{1 + \omega^2 C^2 R^2} \right) = Z\_Sin\,\theta \quad \text{————— (4)}$$

The above equation can be combind to give

$$\left(Z - \frac{R}{2} \right)^2 + \left(Z \right)^2 = \left(\frac{R}{2} \right) \quad \text{————— (5)}$$

The equation (5) describes the equation of a circle of radius R/2 with center at (R/2 0)

On plotting the graph between Z $Cos\,\theta$ as X axis and Z $Sin\,\theta$ as Y axis a semicircle will

result having radius R/2 This is called Cole Cole plot The diameter of semicircle gives

the value of resistance(R) Similarly the admittance value Y (ω) can be determined from

impedance values

$$Y(\omega) = \frac{1}{Z(\omega)} \quad \text{—————(6)}$$

$$Y(\omega) = Y + j Y \quad \text{——————— (7)}$$

where   Y $= 1/R = Y\_Cos\,\theta$

Y $= \omega C_p = Y\_Sin\,\theta$

R= resistance

C_p= measured capacitance

Dielectric constant and dielectric loss

$$\text{Dielectric constant } \varepsilon = \varepsilon - j\varepsilon \quad \text{————(8)}$$

$$= \frac{Y}{\omega C_o} - j\left(\frac{Y}{C_o \omega}\right)$$

$$= \left(\frac{\omega C_p}{\omega C_o}\right) - j\left(\frac{1}{RC_0 \omega}\right)$$

$$= \left(\frac{C_p}{C_o}\right) - j\left(\frac{1}{RC_o \omega}\right) \quad \text{——(9)}$$

Here C_o is the capacitance with no dielectric materials

On comparing equation (8) and equation (9)

$$\varepsilon = \frac{C_p}{C_o} \quad \text{————————(10)}$$

$$\varepsilon = \frac{1}{RC_o \omega} \quad \text{————————(11)}$$

Dielectric loss (D) or loss tangent can be define as

$$\text{Loss tangent (D)} = \tan(\delta)$$

$$= \frac{\varepsilon}{\varepsilon}$$

$$= \left(\frac{1/RC_o \omega}{C_p/C_o}\right)$$

$$D = \frac{1}{R\omega C_p}$$

where   R= resistance

C_p=measured capacitance

2 3 2 2 Measurements

Impedance Analyzer 4192A LF (Hewlett Packard 5Hz 13MHz) was used for accurate measurement of impedance parameters of PZT ferroelecrtric thick films having different PVP (monomer)/Ti molar ratios For measurement, the frequency range was chosen between 100Hz to 12MHz range Oscillator level was kept constant at 0 25V

On plotting Cole Cole plot more than one semicircles were obtained in some samples Jie Lue et al (33) have shown the Cole Cole plot for yttrium stabilized zirconium ceramic For Y_2O_3 ZrO_2 three separate semicircles associated with grain interior grain boundary and electrode interface are obtained In Y_2O_3 ZrO_2 ceramic the relatively small grain interior resistance R_{gi} results in a small relaxation time which dominates at higher frequency range The relaxation for the grain boundary conduction is 3 orders of magnitude higher than that for grains hence a separate semicircle is observed in low frequency range for the grain boundaries The relaxation time for electrode ceramic interface is even higher and contributes only in the very low frequency range The grain boundary resistance R_{gb} is related to grain size segregation of impurities and presence of other grain boundary phase For PZT thick films with different PVP/Ti ratio Cole Cole plot have been obtained at temperatures range 75°C to 250°C From the radius of the semicircles the DC resistance of PZT ceramic film with different PVP/Ti ratio can be obtained

Frequency dependence of dielectric properties can be expressed in terms of dielectric constant and complex impedance (Z^*)

$$\varepsilon = \left(\frac{C_p}{C_o}\right) - J\left(\frac{1}{RC_o\omega}\right)$$

and loss tangent (D)=tan (δ)

$$D = \frac{1}{R\omega C_p}$$

For an ideal parallel RC circuit the conductivity is independent of frequency and the real and imaginary parts of the circuit impedance form a full semicircle when plotted between $Z \cos\theta$ as X axis and $Z \sin\theta$ as Y axis But in actual practice depressed semicircle is obtained Also in real materials the dielectric loss is not proportional to $1/\omega$ but can be characterized by Jonschers universal expression (34)

$$\varepsilon = cons\tan t\left(\frac{\omega}{\omega_p}\right)^{n_1\ 1} + \left(\frac{\omega}{\omega_p}\right)^{n_2\ 1}$$

where ω_p =characteristic frequency which in dipolar dielectric is associated with the frequency of dielectric loss peak and n_1 n_2 =0 to 1

2 3 3 Dielectric constant

PZT used as a high dielectric constant material Capacitance of PZT film can be directly measured from impedance analyzer Film thickness of PZT deposited on Au Pd/ZrO$_2$/Si (100) can be determined using a profiliometer (α Step 100 Tencor Instruments) The average area of top electrode was determined by optical microscopy at 100 magnification Average area of electrode was found to 0 196*10^{-6} m The complete specimen Au Pd/ PZT / Au Pd/ ZrO$_2$/ Si(100) acts as parallel plate capacitor where Au Pd acts as top and bottom electrodes and PZT as dielectric material The dielectric constant of PZT thin film was determined by using simple capacitor theory

$$C = \frac{\varepsilon_0 \varepsilon_{pzt} A}{d}$$

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C = capacitance of dielectric materials

(Directly measured from impedance analyzer)

A= area of top electrode

ε_{PZT} = dielectric constant of PZT dielectric material

d= thickness of PZT film

The capacitance and the dielectric loss of the device are read directly from the impedance analyzer Measurements were carried out between 100 Hz to 1MHz However the dielectric constant was found to became nearly constant after 100 KHz and so the data only up to 100 KHz is presented for better resolution

Chapter 3

3 Result and discussion

PZT films from sols with different PVP/Ti ratio have been deposited on Au Pd/ZrO$_2$/Si(100) n type substrates by spin coating. Sols with different PVP/Ti ratio were prepared. The volume of the as prepared sol in each case was maintained at 16 ml. This original sol with different PVP/Ti ratio has a concentration of 0 63M. From each sol 5ml of volume was taken and diluted by adding a 5 ml mixture of isopropyl alcohol and acetic acid (each 2 5 ml). The total volume of sol (10 ml) was stirred for 10 min. This produced a sol of concentration of 0 32 M. Films were deposited from this sol also. Unless otherwise mentioned, 10 coatings were made in each case. Baking between the coatings was done at 400^{0}C for 5 min and the final annealing was done at 650^{0}C for 45 min.

3 1 X-ray diffraction

A ZrO$_2$ film was first deposited on Si (100) –n type substrate by spin coating and heat treated at 400°C. ZrO$_2$ coating over Si substrate of prevents the diffusion of Si and Pb through interface. X ray diffraction of ZrO$_2$ thin layer deposited over silicon after annealing at 650^{0}C for 30 min is shown in fig (3 1). A cubic ZrO$_2$ phase is obtained

During the actual sample preparation the ZrO_2 film was annealed at 400^0C followed by Au Pd bottom electrode deposition After the deposition of the PZT film the whole combination was annealed at 650^0C The phases present in the PZT films have been determined by X ray diffraction Fig 3 2(a) and fig 3 2(b) show the X ray diffractiograms for PZT with no PVP and with PVP respectively The data has been tabulated in table 3 1 Peaks positions match with pervoskite structure [32]

Table3 1 X ray diffraction data for PZT (Perovskite Structure)

Diffraction angle (2θ)	Relative Intensity (I/I_0)	Plane	$d-(A^0)$
20 70	46 6	(100)	4 2901
30 01	100	(110)	2 977
38 81	51 11	(111)	2 349
43 59	43 59	(200)	2 0764
57 53	54 4	(211)	1 686

A ZrO_2 film was first deposited on Si (100) –n type substrate by spin coating and heat treated at $400°C$ ZrO_2 coating over Si substrate of prevents the diffusion of Si and Pb through interface X ray diffraction of ZrO_2 thin layer deposited over silicon after annealing at 650^0C for 30 min is shown in fig (3 1) A cubic ZrO_2 phase is obtained During the actual sample preparation the ZrO_2 film was annealed at 400^0C followed by Au Pd bottom electrode deposition After the deposition of the PZT film the whole combination was annealed at 650^0C

3 2 Effect of PVP/Ti ratio

Effect on thickness of PZT thin films with different PVP/Ti molar ratios in sol has been shown in figure 3 3 The film thickness decreases a little with the first addition of PVP but then increases and becomes nearly constant The initial decrease may be within the experimental scatter but this needs to be verified Two different sol concentrations (0 32M and 0 63M) of PZT with different PVP/Ti molar ratio have been used for film preparation Large film thickness is obtained from more concentrated sol A decrease in the film thickness at the highest PVP concentration in case of the more concentration sol was not expected and needs to be verified

Dielectric loss of PZT films prepared by using different sol concentrations (0 32M and 0 63M) with different $PVP_{monomer}$/Ti molar ratios are shown in fig (3 4) and fig (3 5) The dielectric loss decreases at high frequencies At two frequencies (50kHz and 100kHz) the effect of PVP/Ti molar ratio on dielectric loss is shown in fig (3 6) It can be seen that there is no clear correlation It should be noted that due to the preparation procedure the concentration of acetic acid is different in the two sols (0 32M and 0 63M) The dielectric loss is plotted against the PVP/acetic acid ratio in fig (3 7) There appears to be tendency for the dielectric loss to become very high at an intermediate concentration (PVP/ acetic acid =0 05 to 0 1) of acetic acid Acetic acid has a significant effect on the sol gel chemistry It can act as a chelating agent and inhibits the condensation reactions This aspect needs to be further investigated Effect of thickness on dielectric loss is shown in figure (3 8) At high frequency range, dielectric loss is almost independent of thickness

Dielectric constant of PZT thick films with different sol concentrations (0 32M and 0 63M) and PVP/Ti molar ratios are shown in fig (3 9) and fig (3 10) In all cases the dielectric constant decreases with increase in the frequency Sharp increases in the dielectric constant occur in frequency range from 1 to 20 kHz (fig3 9 and fig3 10) Effect of PVP/Ti ratio on the dielectric constant at 5 kHz and 100kHz are shown in fig (3 11) The dielectric constant is higher in the films prepared using the 0 63M sol because of the generally larger thickness A high value of the dielectric constant is obtained at PVP/Ti =0 5 in the film from 0 63M sol Dielectric constants attain constant values of ~65 and ~130 at PVP/Ti≥1 0 for sol concentration of 0 32M and 0 63M respectively These values are on the low side of the range of values reported for the PZT films Much higher dielectric constant can be obtained by increasing the film thickness to 11 μ m this thickness was obtained by using 21 coatings

3 3 Impedance spectroscopy

Impedance measurements on the sample were carried out at different frequencies in the temperature range from 75°C to 250°C The top electrode was made of Au Pd with diameter of 0 5 mm (area=0 196 x 10^{-6} m^2) The impedance data were plotted as Cole Cole plots (Z Cosθ vs Z Sinθ) As discussed in chapter 2 such a plot yields arcs of circle In the most general case three arcs corresponding to bulk (high frequency) grain boundary and electrode interface (low frequency) are obtained The diameter of a half circle gives the corresponding resistance due to grains grain boundary and the interface The dc conductivity can be calculated by using the equation

$$\sigma_{dc} = \frac{1}{R}\left(\frac{l}{A}\right)$$

where R is the resistance as above and l and A are the film thickness and electrode area respectively For the case of grain boundary conductivity a knowledge of the grain size and grain boundary thickness is required The grain boundary conductivity is given by

$$\sigma_{gb} = \frac{1}{R_{gb}} \frac{l}{A} \left(\frac{\delta_{gb}}{d_{gb}} \right)$$

where δ_{gb} is the width of grain boundary and d_{gb} is the grain size To carry out an order of magnitude estimate, we have taken δ_{gb} to be 1 0 nm and d_{gb} as 0 1 μm giving δ_{gb}/d_g $=10^2$ Fig (3 13) to fig (3 18) show the Cole Cole plots for samples at different temperatures In most of the cases, the bulk and grain boundary semicircles are clearly obtained The values of the corresponding dc conductivity calculated as above are given in table (3 3) The data are also plotted as $\ln \sigma$ vs 1/T and the activation energies are obtained from the slopes of the plots The data for activation energy are listed in table (3 4) It is seen that the activation energy for grain conduction is 10 12 kJ/mole for most of the samples except for the sample with PVP/Ti ratios of 0 2 and 2 0 for which activation energies of 28 8 and 4 3 kJ/mole respectively are obtained The activation energy for the grain boundary conduction tends to have values, which vary between 3 to 25kJ/mole

The above data is tentative and needs to be confirmed by several measurements Physical origins of conduction in PZT thin film have been suggested to be based on model such as Schottky emission, Pool Frenkel emission space charge limited conduction, ionic conduction etc The dc conductivity measurements are usually carried out by measuring the leakage currents at different films There are not many reports using impedance

measurements It is demonstrated here that the impedance data are sensitive to the additive concentration and film thickness and thereby on the film microstructure Hence it would be worthwhile to explore the technique further for understanding the conduction process in PZT films The Cole Cole plots for thick film are shown in fig (3 21 3 23) For the 4 0 μ m thick film an arc is obtained but diameter of semicircle is large by nearly a factor of 10 For the 4 6 μ m thick sample different behaviour showing parts of two semicircles are obtained

Table3 3 DC conductivity of bulk and grain boundary for PZT with different PVP/Ti molar ratio

S No	Sample details	T (^{o}C)	DC conductivity ($\sigma_{b\ lk}$) $\Omega^{-1}m^{-1}(10^{3})$	DC conductivity ($\sigma_{gr\ b\ ndary}$) $\Omega^{-1}m^{-1}(10^{5})$
1	PZT (no PVP) Sol Conc=0 32M Thickness=1 0 μm	74	0 22	0 068
		134	0 95	0 329
		245	1 53	0 97
2	PZT (PVP/Ti=0 2) Sol Conc=0 32M Thickness=0 7 μm	83	0 0047	—
		153	0 020	0 53
		246	0 110	—
3	PZT (PVP/Ti=0 5) Sol Conc=0 32M Thickness= 2 2 μm	89	0 0179	0 034
		144	0 057	0 088
		256	0 069	0 106
4	PZT (PVP/Ti=1 0) Sol Conc=0 32M Thickness=1 8 μm	82	0 400	0 290
		168	0 587	0 285
		248	0 680	0 440
5	PZT (PVP/Ti=1 5) Sol Conc=0 32M Thickness= 2 0 μm	93	0 170	0 240
		166	0 326	0 533
		245	0 480	0 42
6	PZT (PVP/Ti=2 0) Sol Conc=0 32M Thickness=1 8 μm	80	1 24	—
		135	1 46	
		245	2 10	

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Table3 4 Activation energy for PZT with different PVP/Ti molar ratio

S No	Sample details	Activation Energy $(E_a)_{bulk}$ (KJ/mole)	Activation Energy $(E_a)_{grain\ boundary}$ (KJ/mole)
1	PZT (no PVP) Sol Conc=0 32M Thickness=1 0	12 72	24 7
2	PZT (PVP/Ti=0 2) Sol Conc=0 32M Thickness=0 7 μm	28 8	——
3	PZT (PVP/Ti=0 5) Sol Conc=0 32M Thickness= 2 2 μm	10 7	10 4
4	PZT (PVP/Ti=1 0) Sol Conc=0 32M Thickness=1 8 μm	10 6	3 2
5	PZT (PVP/Ti=1 5) Sol Conc=0 32M Thickness= 2 0 μm	10 1	6 9
6	PZT (PVP/Ti=2 0) Sol Conc=0 32M Thickness=1 8 μm	4 32	——
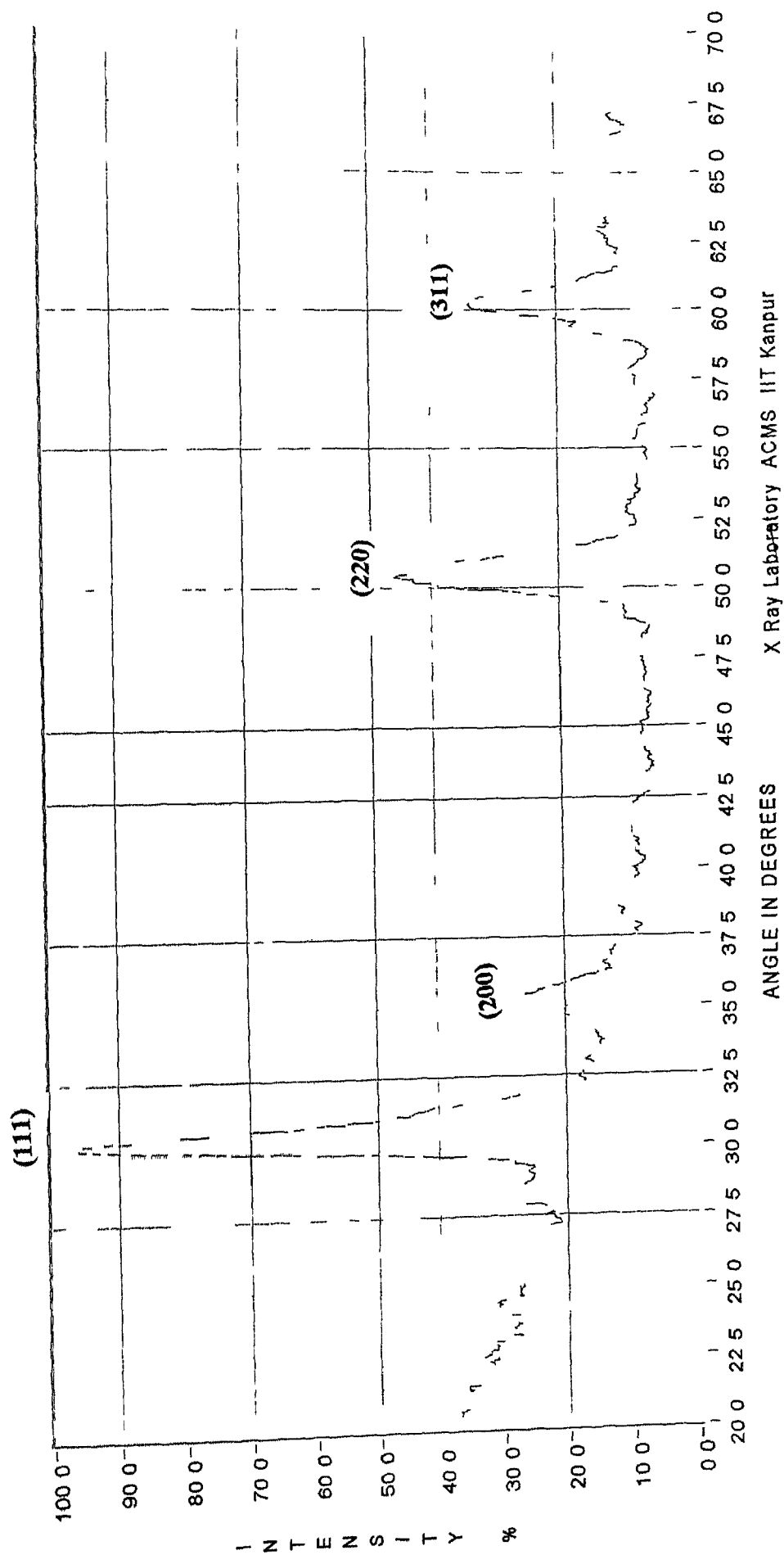
Fig3 1 X-ray diffractogram of ZrO_2 films deposited on Si(100)-n type substrate(Annealing temperature is 650°C)
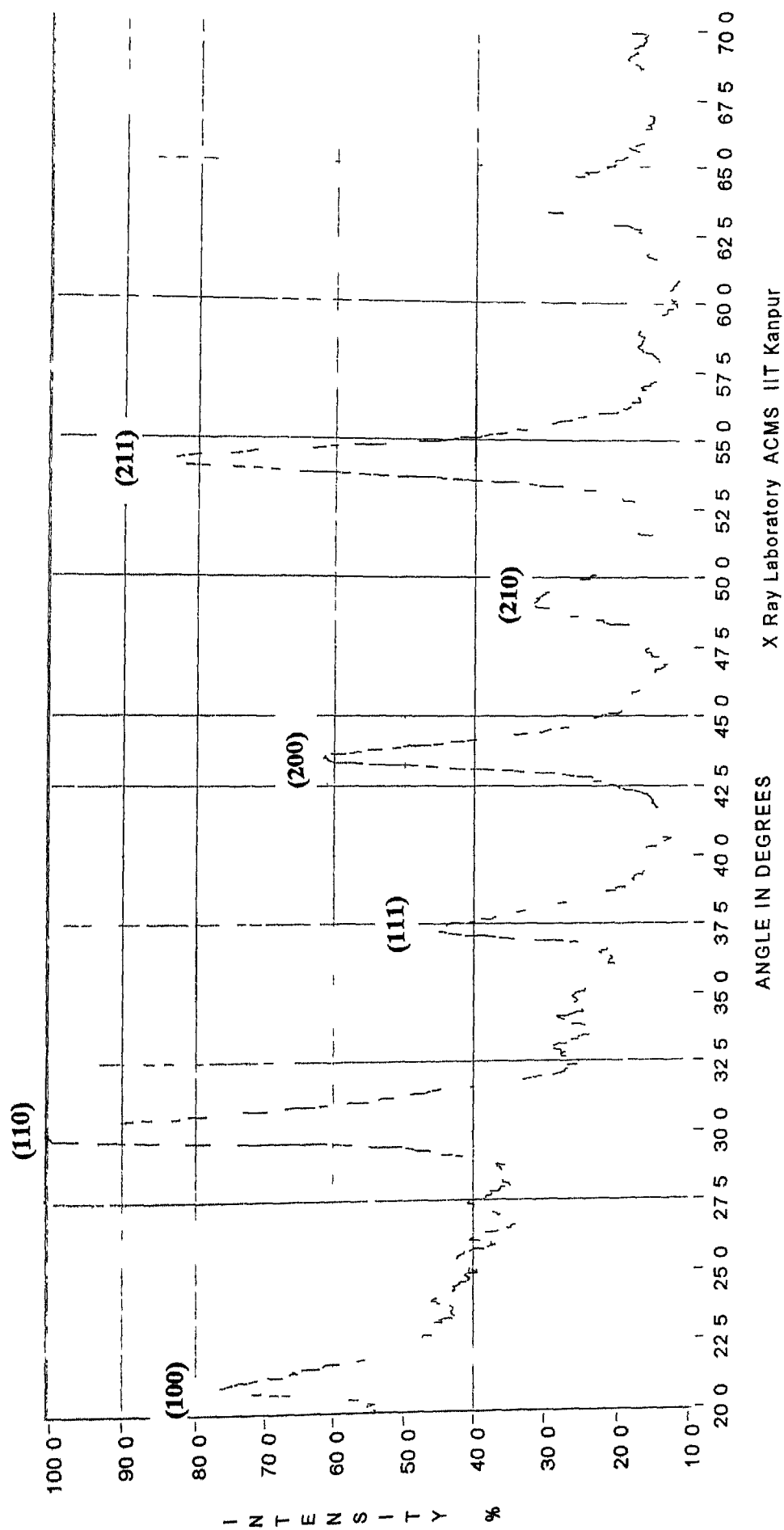
Fig 3 2(a) X-ray diffractogram of PZT films deposited on Au-Pd/ZrO$_2$/Si(100) n type
substrate(Annealing temperature is 650°C)
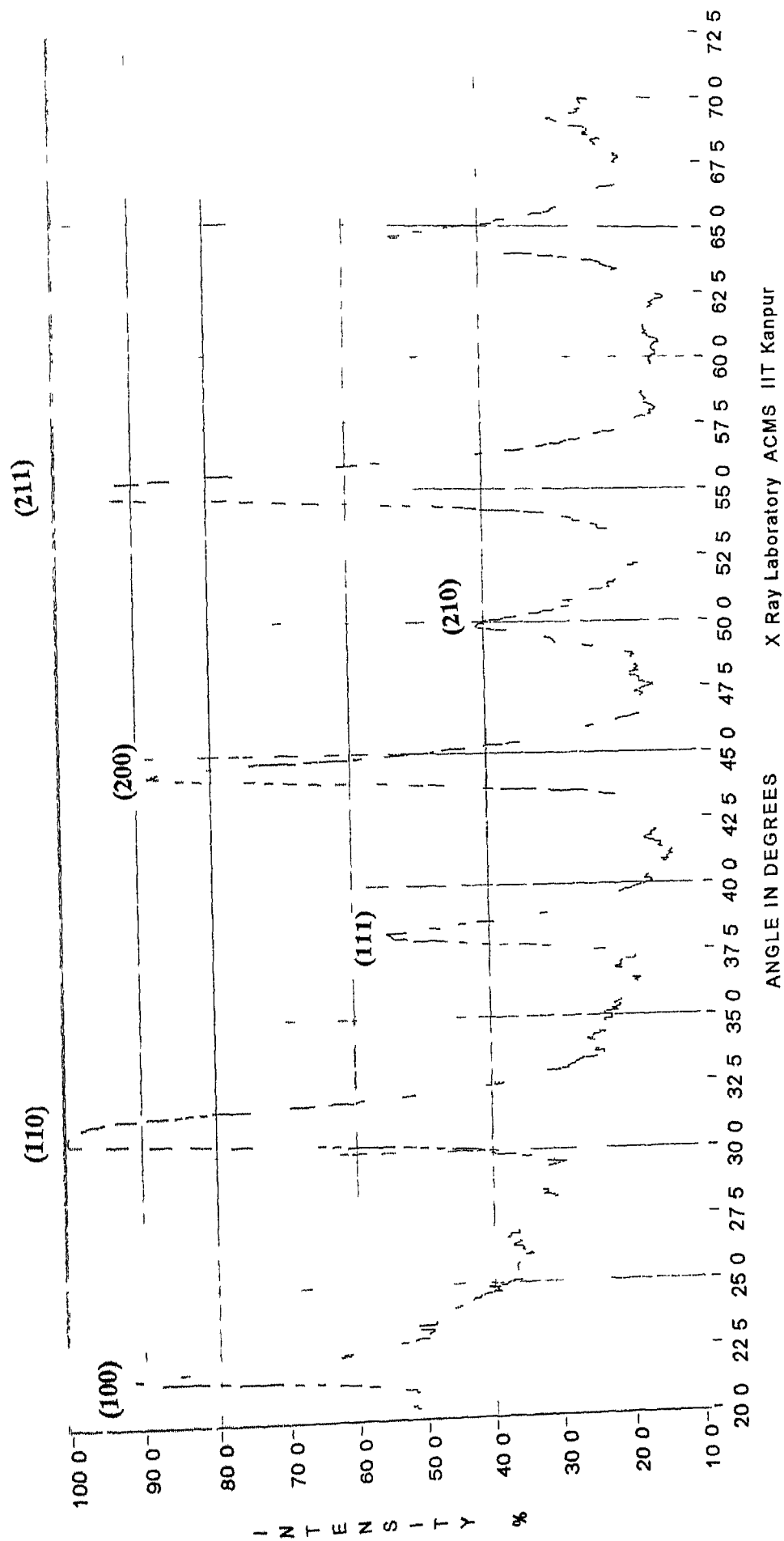
Fig3 2 (b) X ray diffractogram of PZTthin films with PVP(PVP/Ti =1 0) deposited on Au
Pd/ZrO₂/Si(100)-n type substrate(Annealing temperature is 650°C)
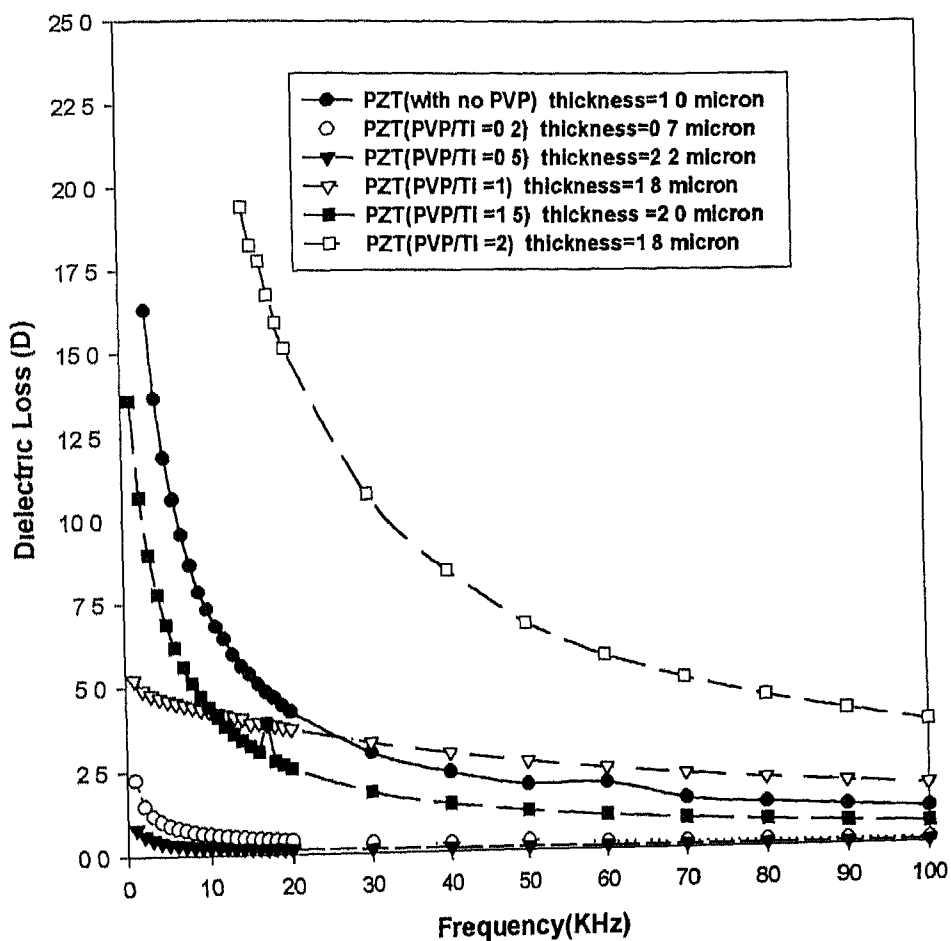
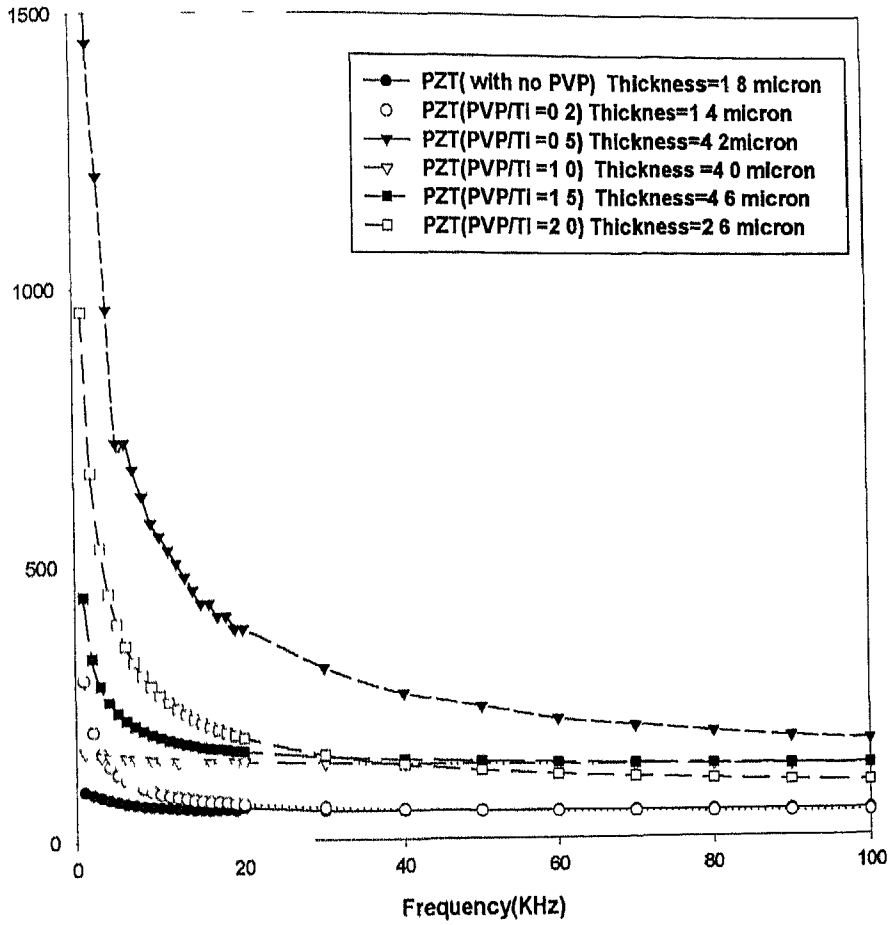**Fig 3 4** Frequency vs Dielectric Loss for PZT with Different PVP/Ti ratio (Sol Concentration is 0 32M)
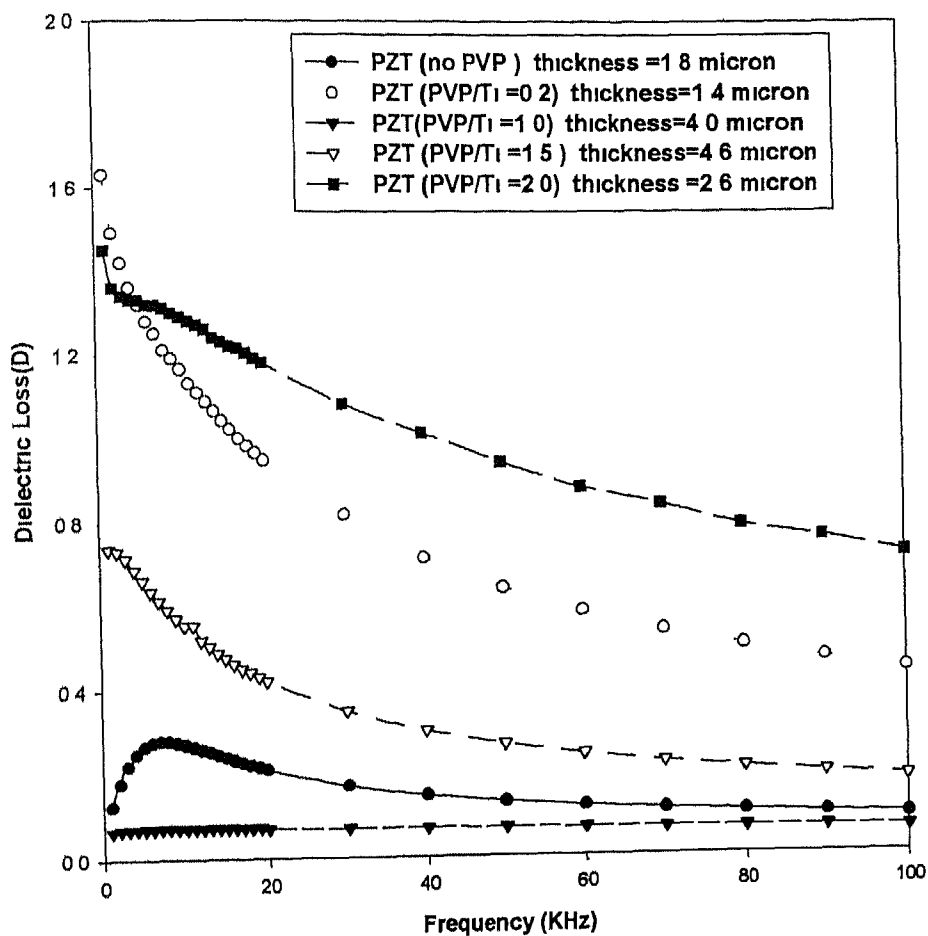
Fig 3 5 Frequency vs Dielectric Loss for PZT with different PVP/Ti molar ratio
(Concentration of the sol is 0 63M The loss was too high for PVP/Ti=0 5 to record)
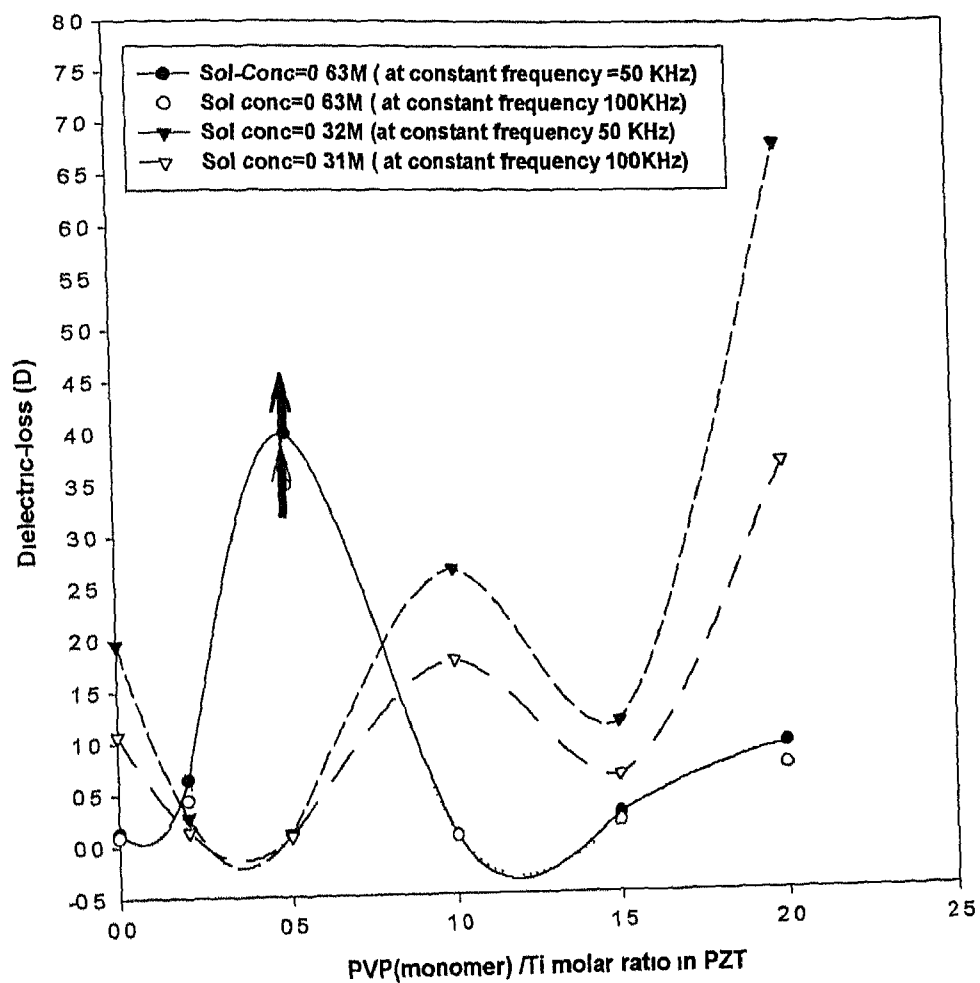
Fig 3 6 Effect of Sol concentration and PVP/Ti ratio on Dielectric loss
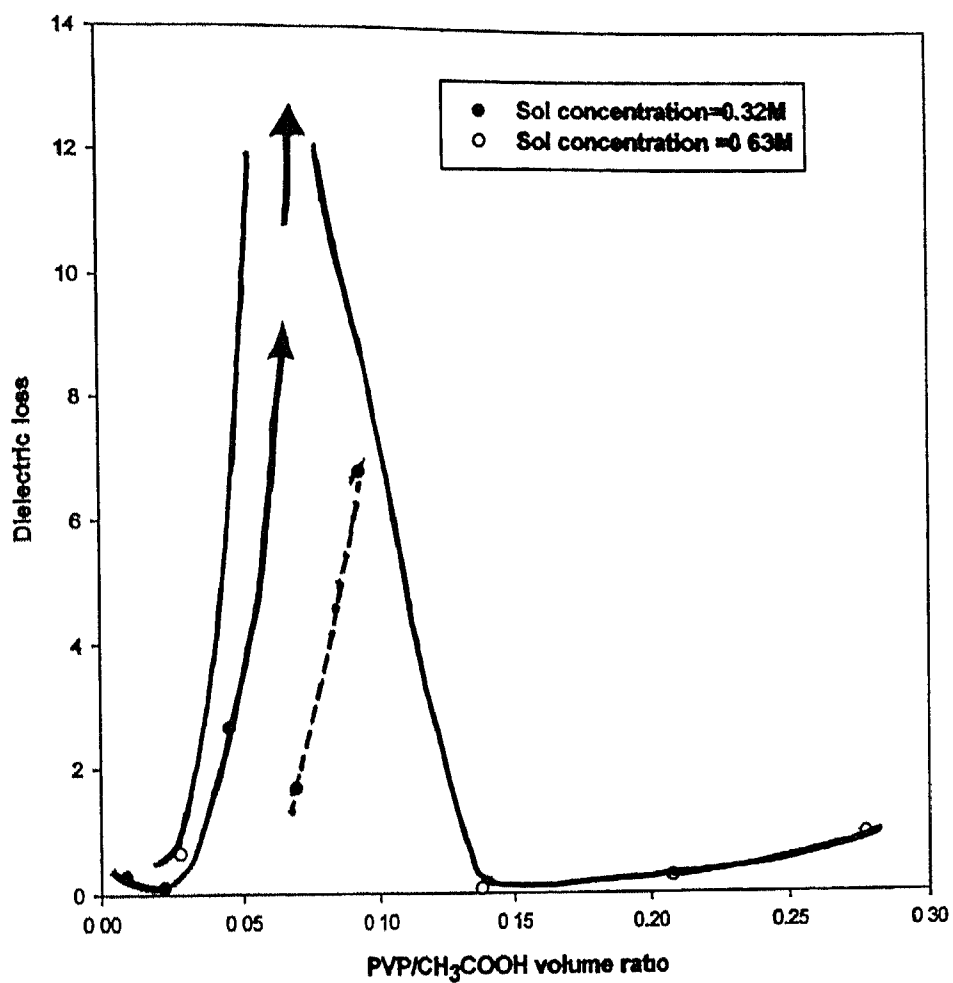
Fig 3 7 Effect of PVP/CH₃COOH volume ratio on dielectric loss
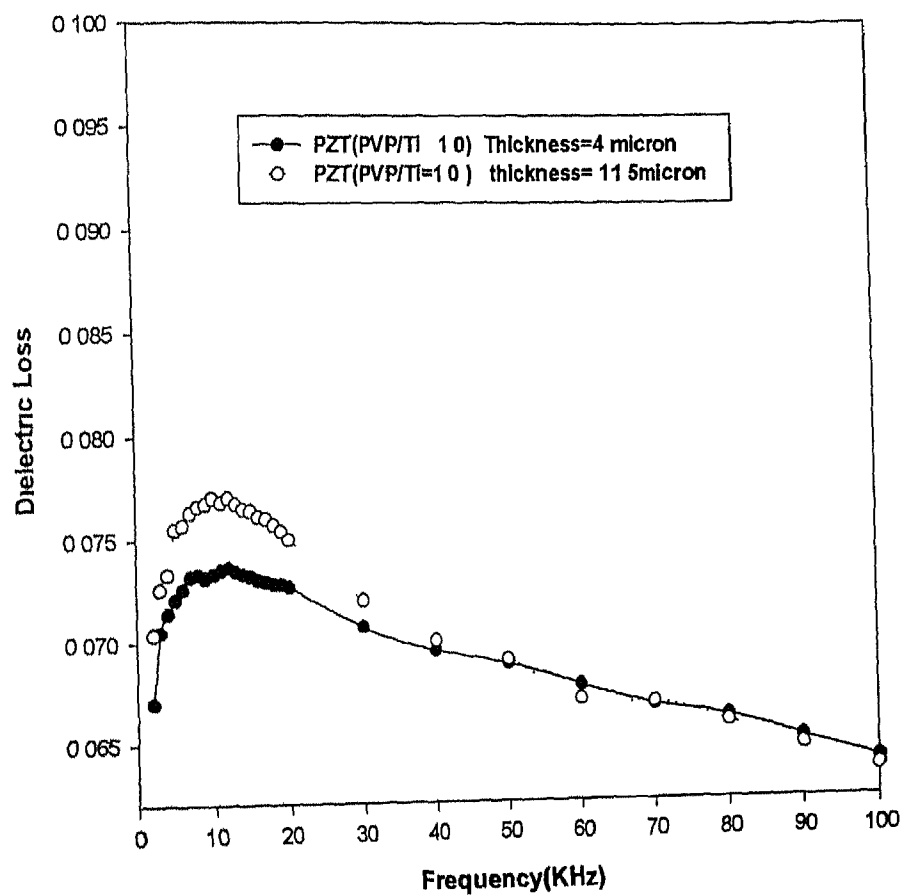
Fig 3 8 Effect of thickness on Dielectric Loss of PZT(PVP/TI =1 0)
(Sol concentration 0 63M)
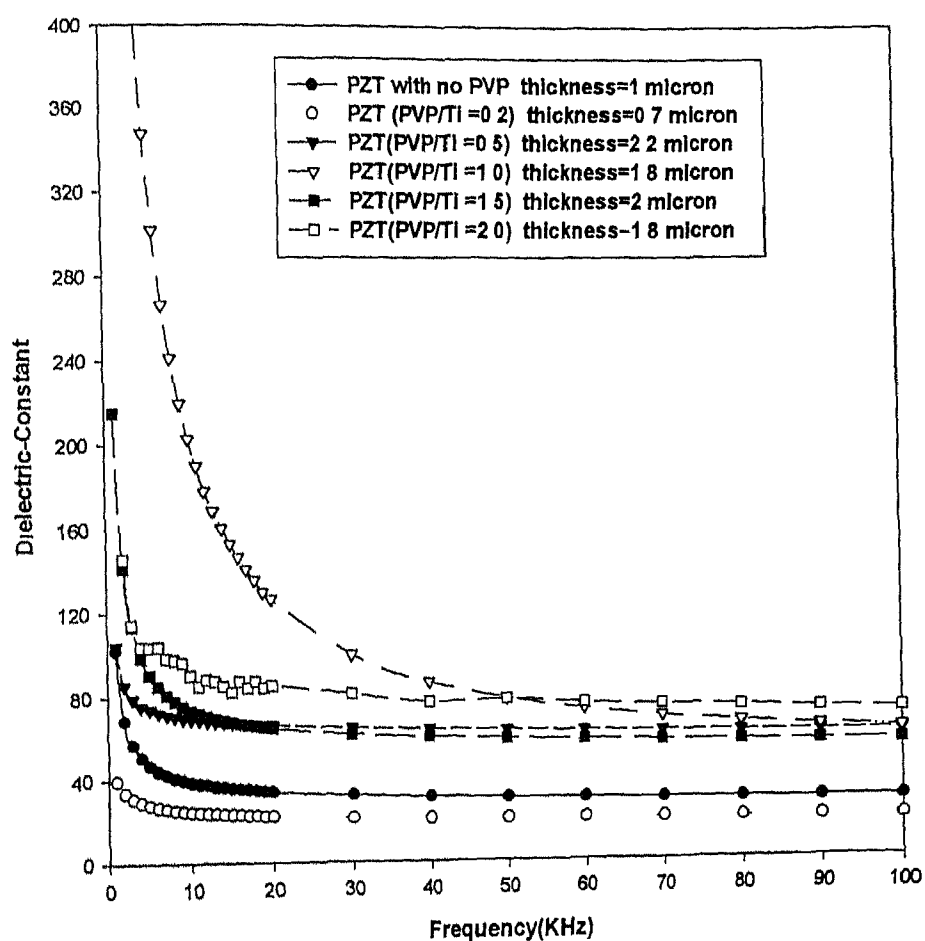
**Fig 3 9 Frequency vs Dielectric Constant for PZT with different PVP/Ti ratio
(Sol concetration 0 32M)**

65

Fig 3 10 Frequency vs Dielectric Constant for PZT with different PVP/Ti ratio
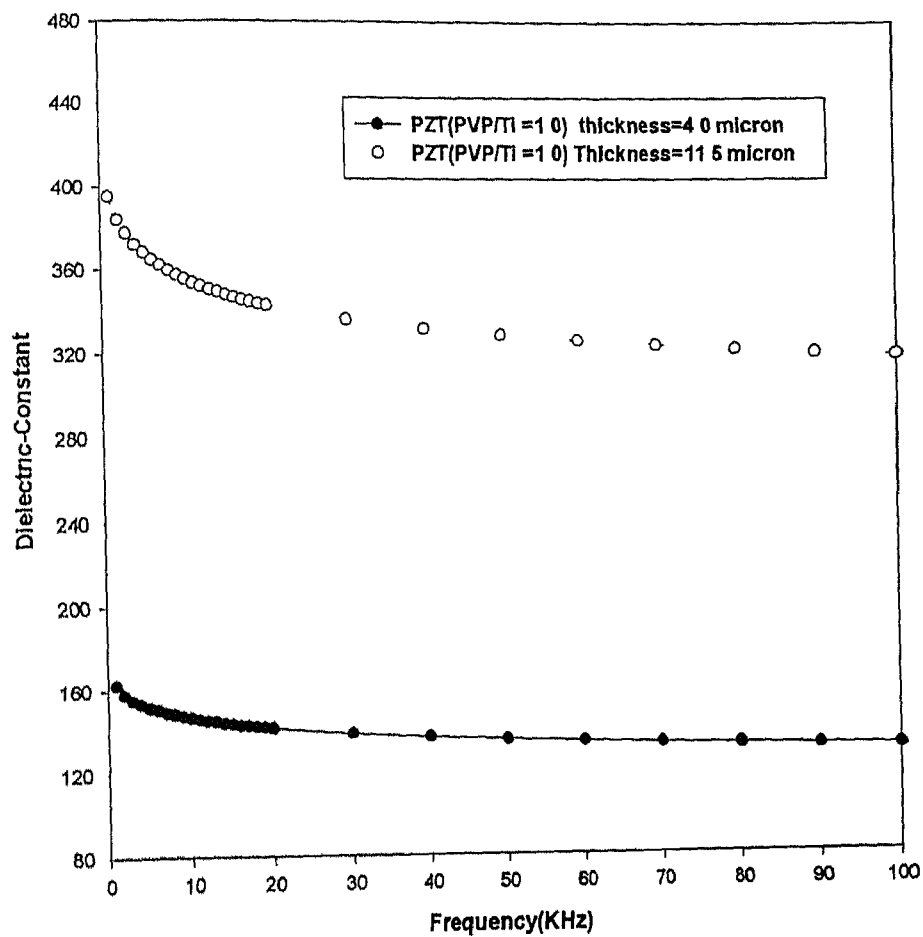(Sol Concentration =0 63 M)
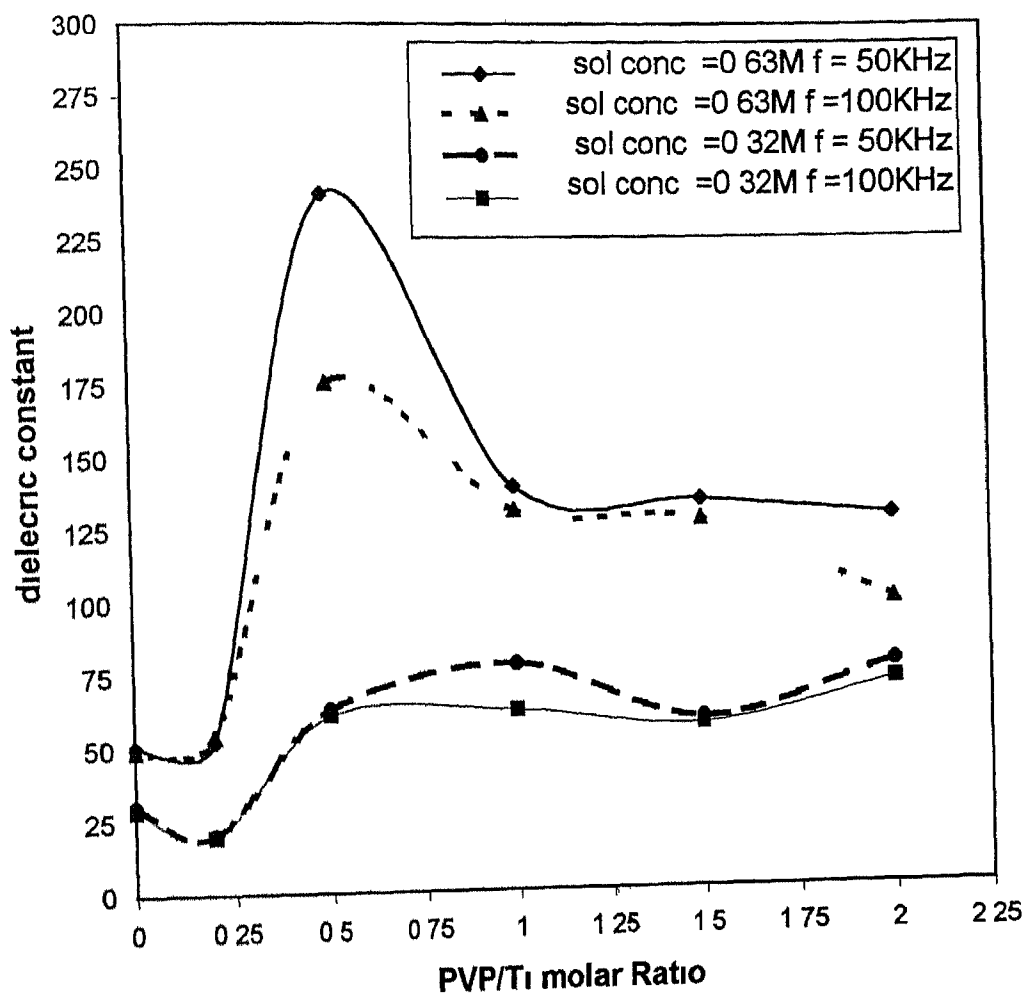
Fig 3 11 PVP/Ti Ratio vs Dielectric constant

Fig 3 12 Effect of Thickness on Dielectric Constant
(Sol concentration ofPZT(PVP/Ti=1 0) is 0 63M)

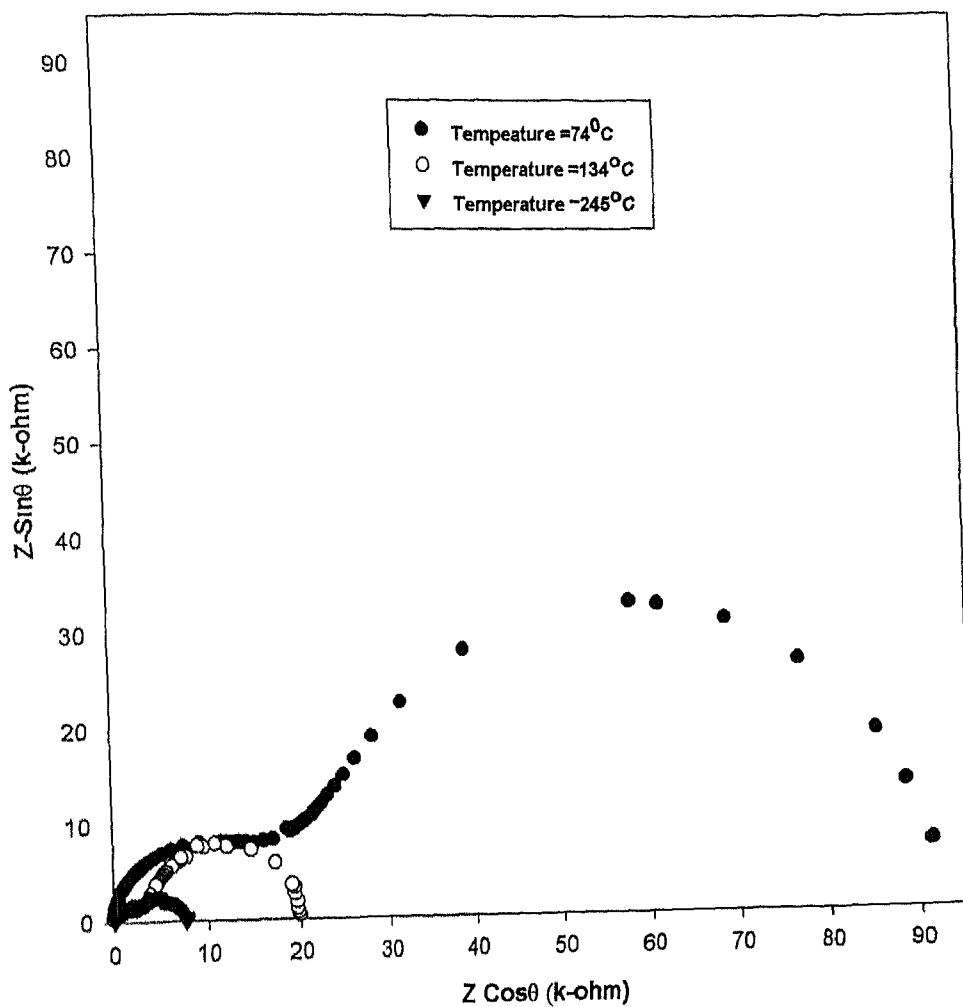Fig 3 13 Cole Cole plots for PZT (no PVP) at different temperatures
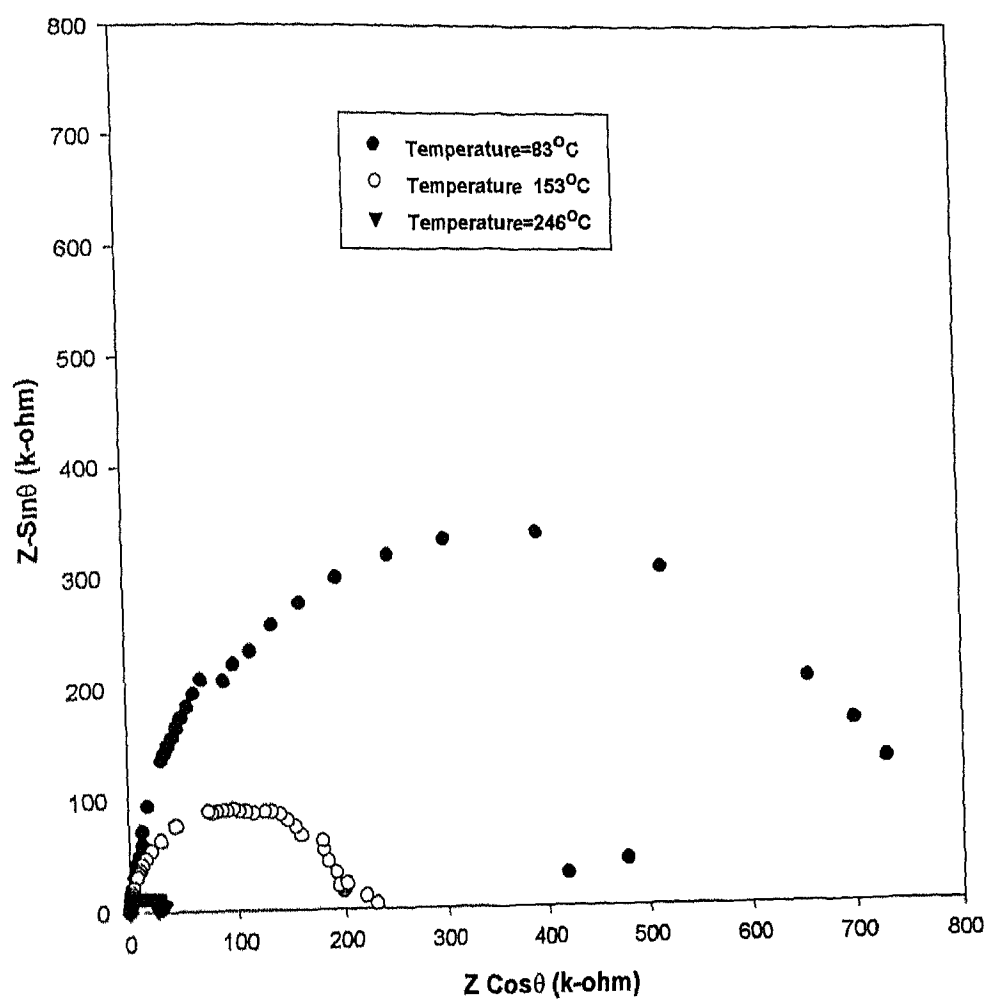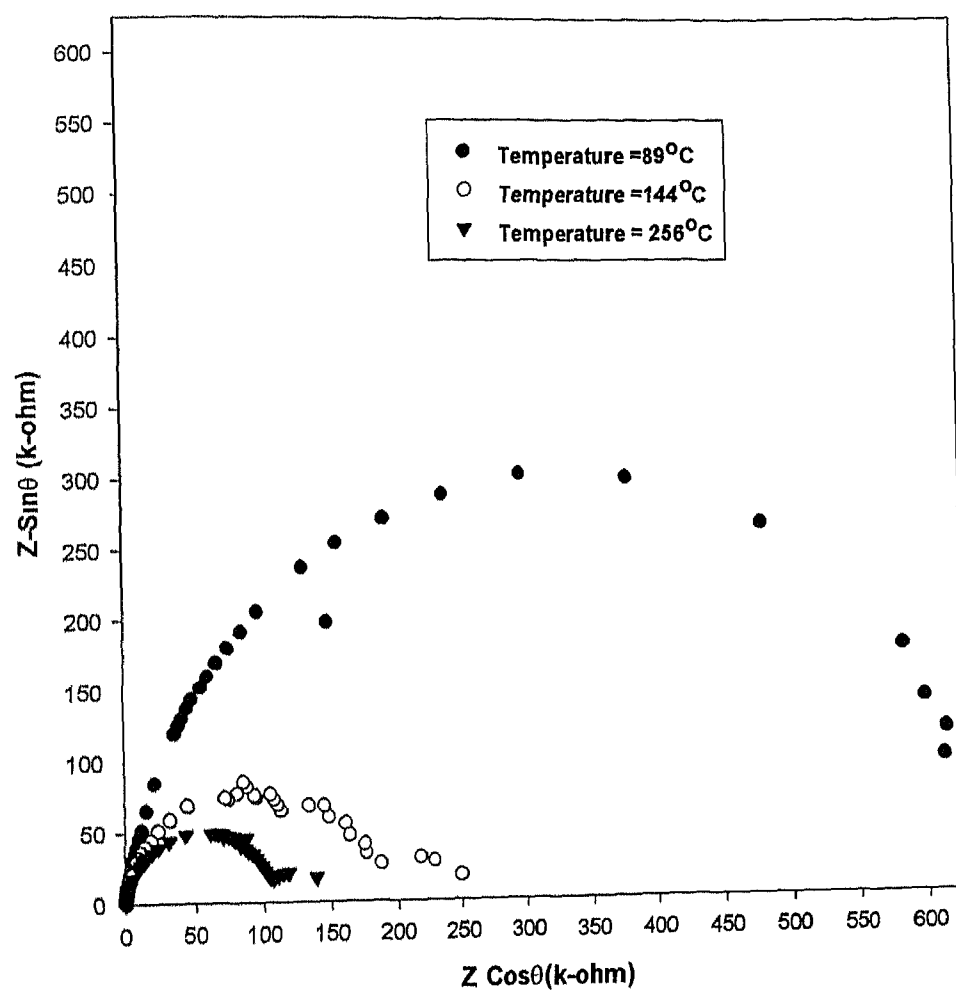(Sol concentration=0 32M)

Fig 3 14 Cole Cole plots for PZT (PVP/Ti=0 2) at different temperatures
(Sol concentration=0 32M)

Fig 3 15 Cole Cole plots for PZT (PVP/Ti=0 5) at different temperatures
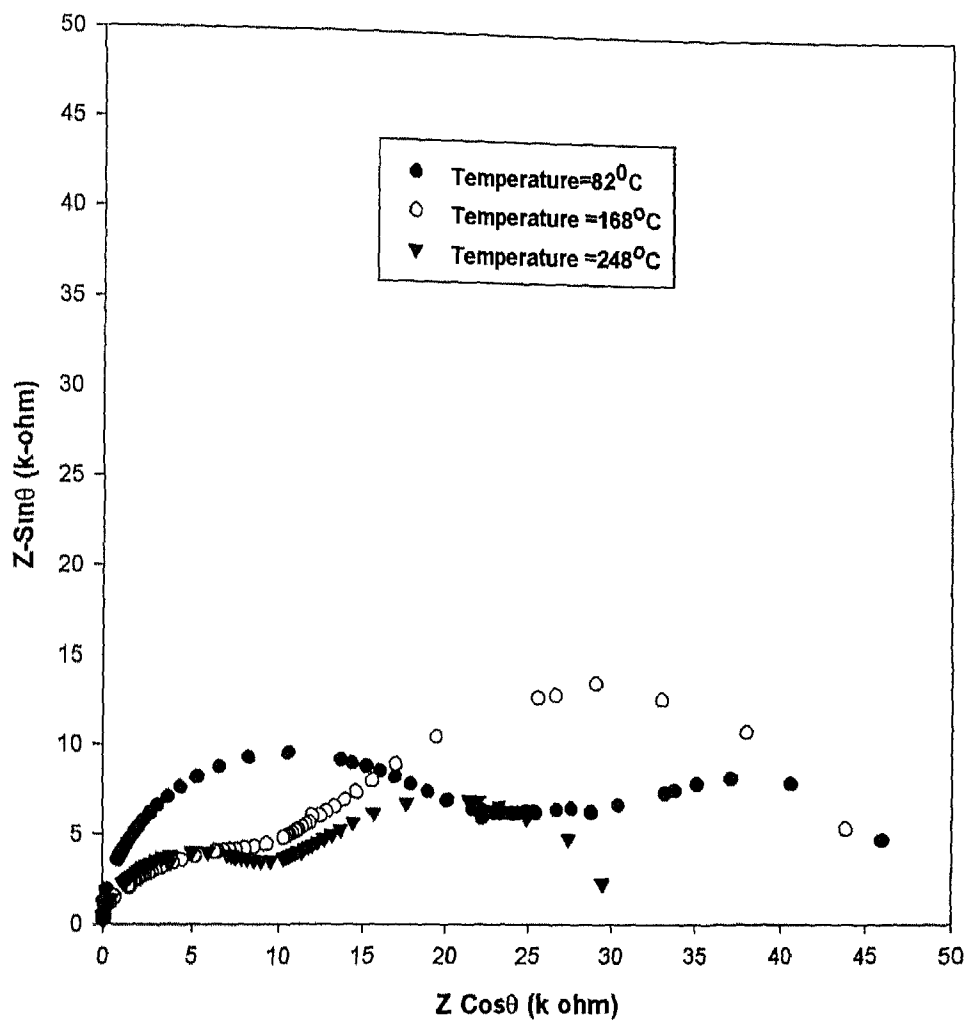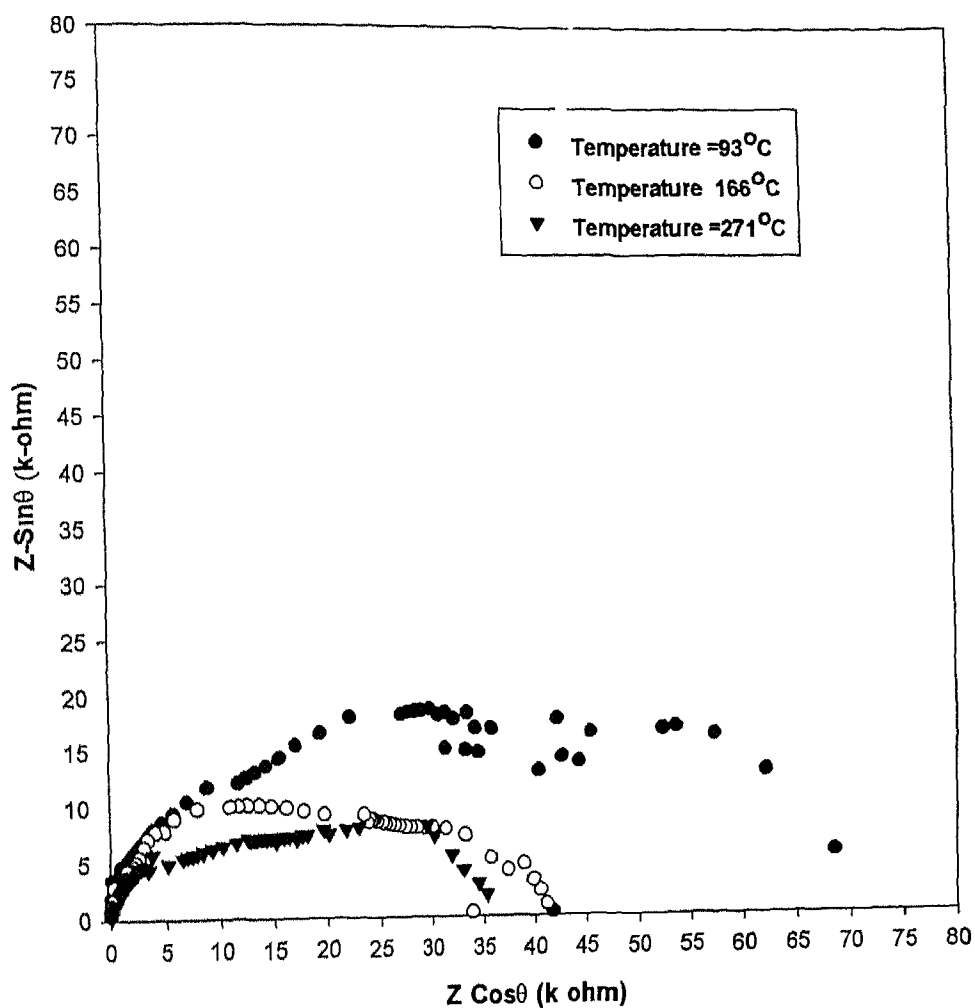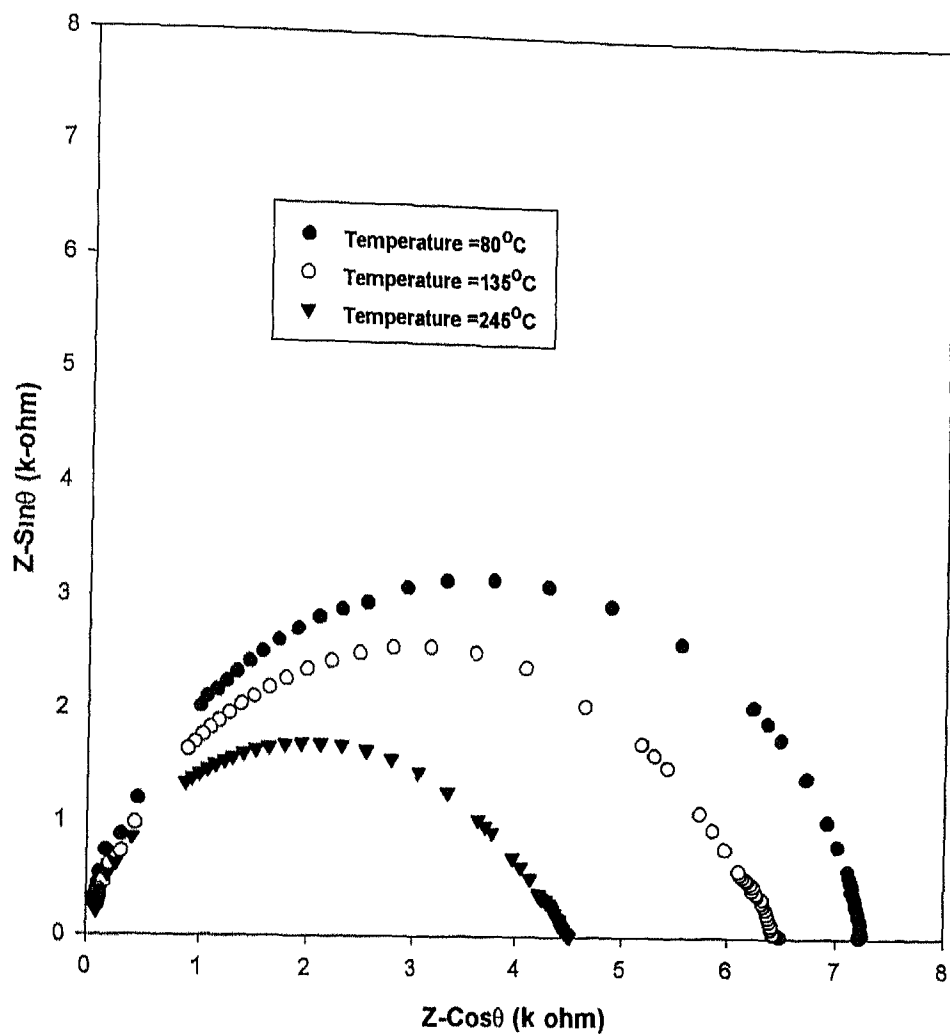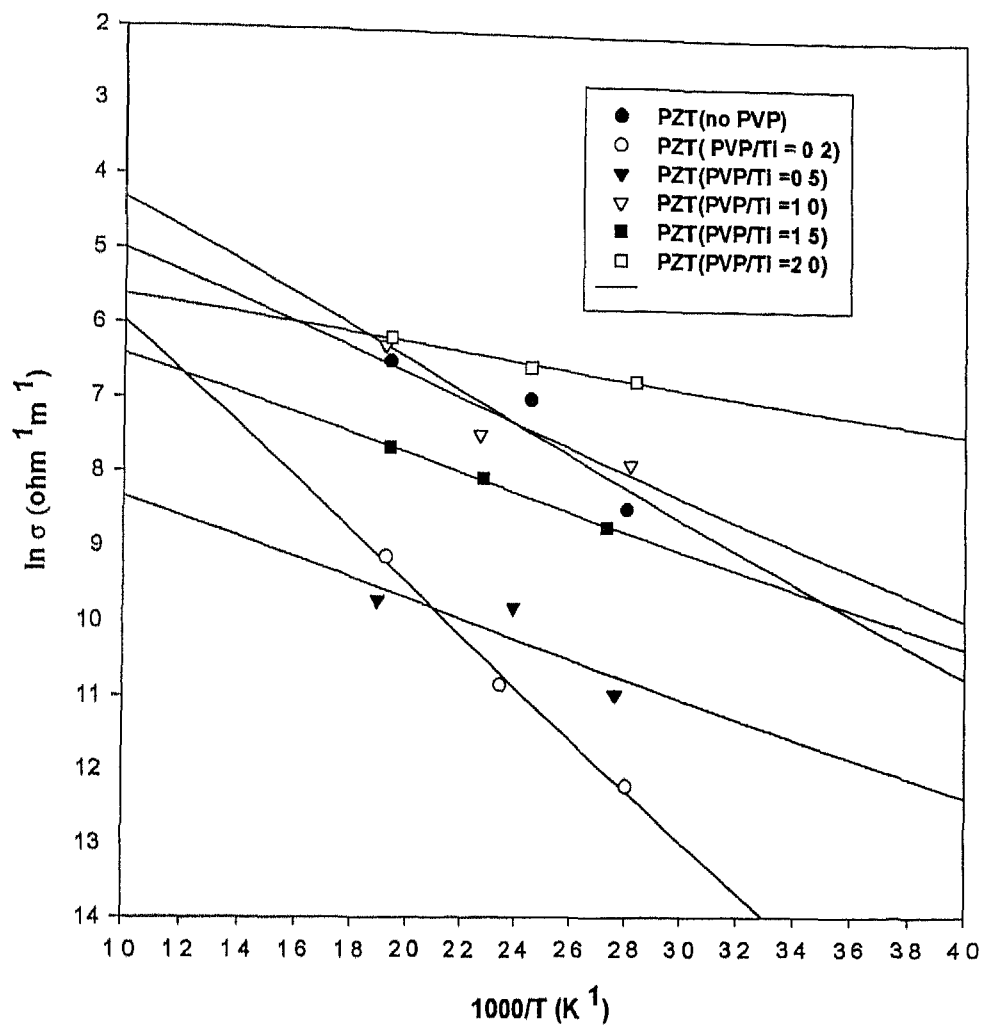(Sol concentration=0 32M)

Fig 3 16 Cole Cole plots for PZT (PVP/Ti=1 0) at different temperatures
(Sol concentration=0 32M)

Fig 3 17 Cole Cole plots for PZT (PVP/Ti=1 5) at different temperatures
(Sol concentration=0 32M)

Fig 3 18 Cole Cole plots for PZT (PVP/Ti=2 0) at different temperatures (Sol concentration=0 32M)

Fig3 19 DC Conductivity associated with grain interior of PZT
with PVP present in sol in different PVP/Ti molar ratio
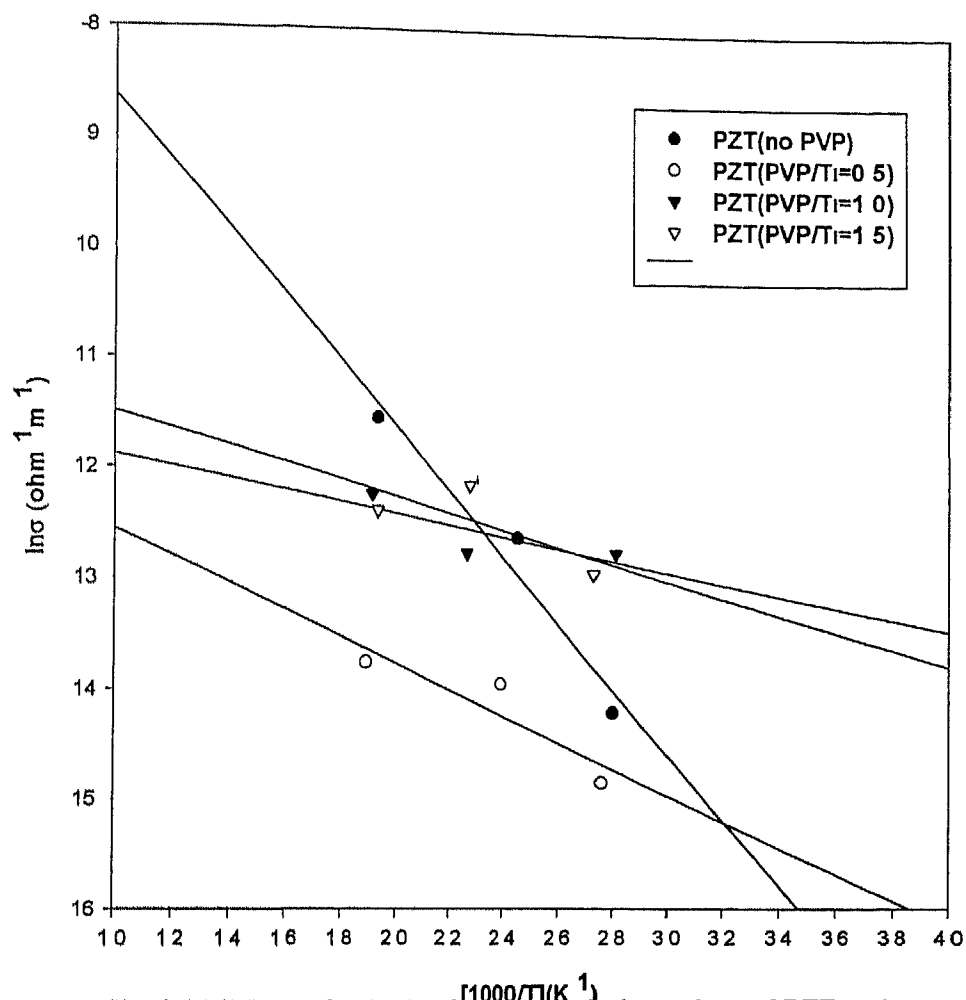**(Sol concentration 0 32M)**

Fig 3 20 DC conductivity plots for grain boundary of PZT with PVP, present on sol in different PVP/Ti molar ratio (Sol concentration 0 32M)
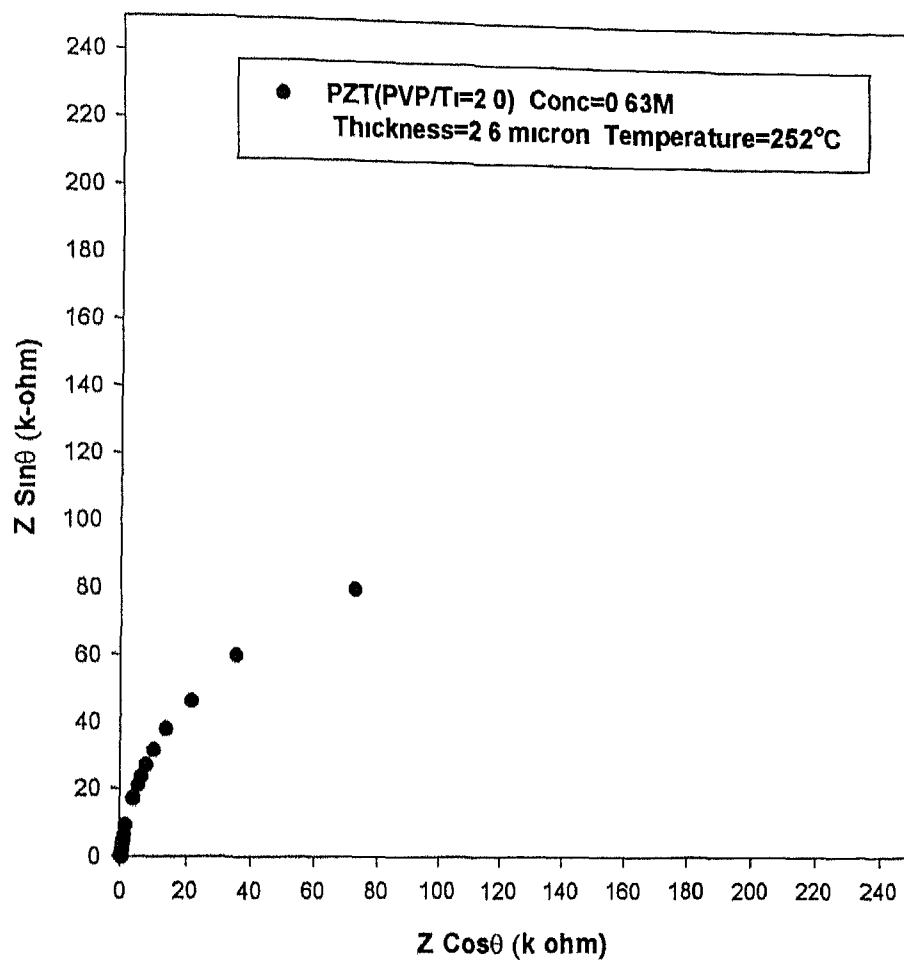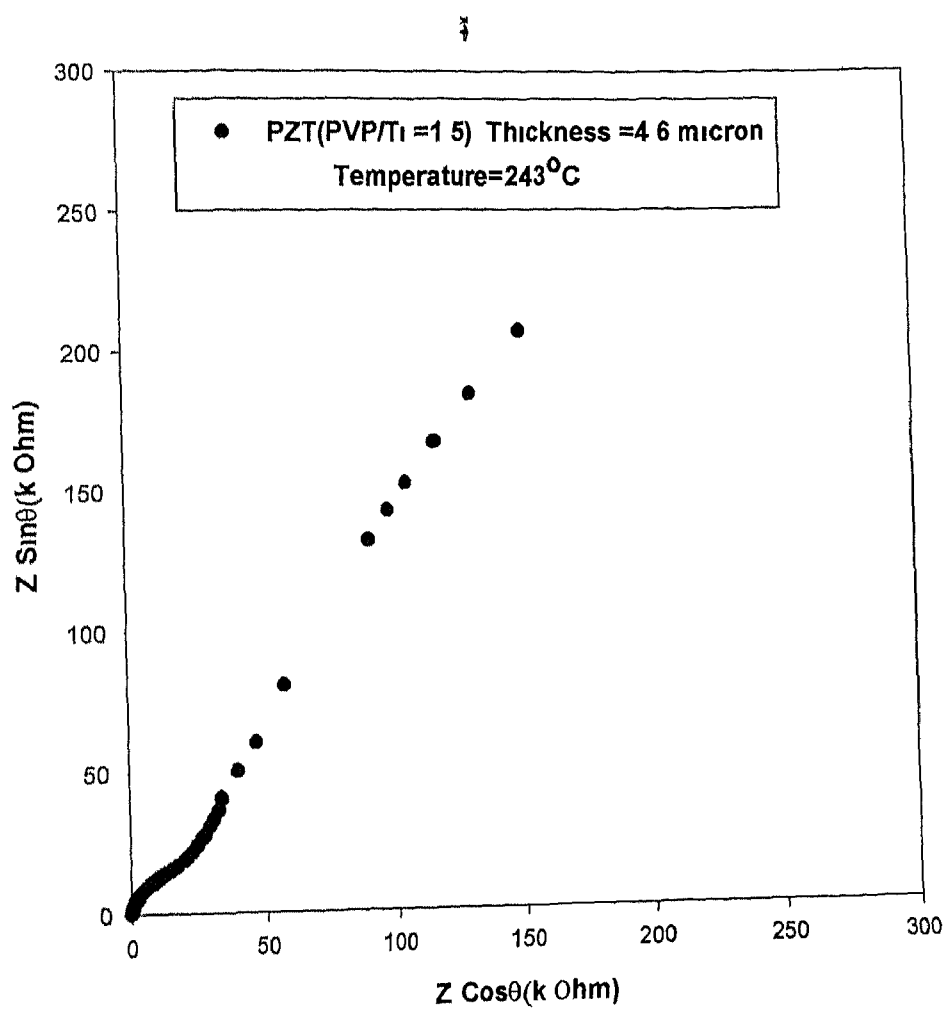
Fig 3 21 Cole Cole plot for PZT(PVP/Ti=2 0)
(Sol Concentration=0 63M)

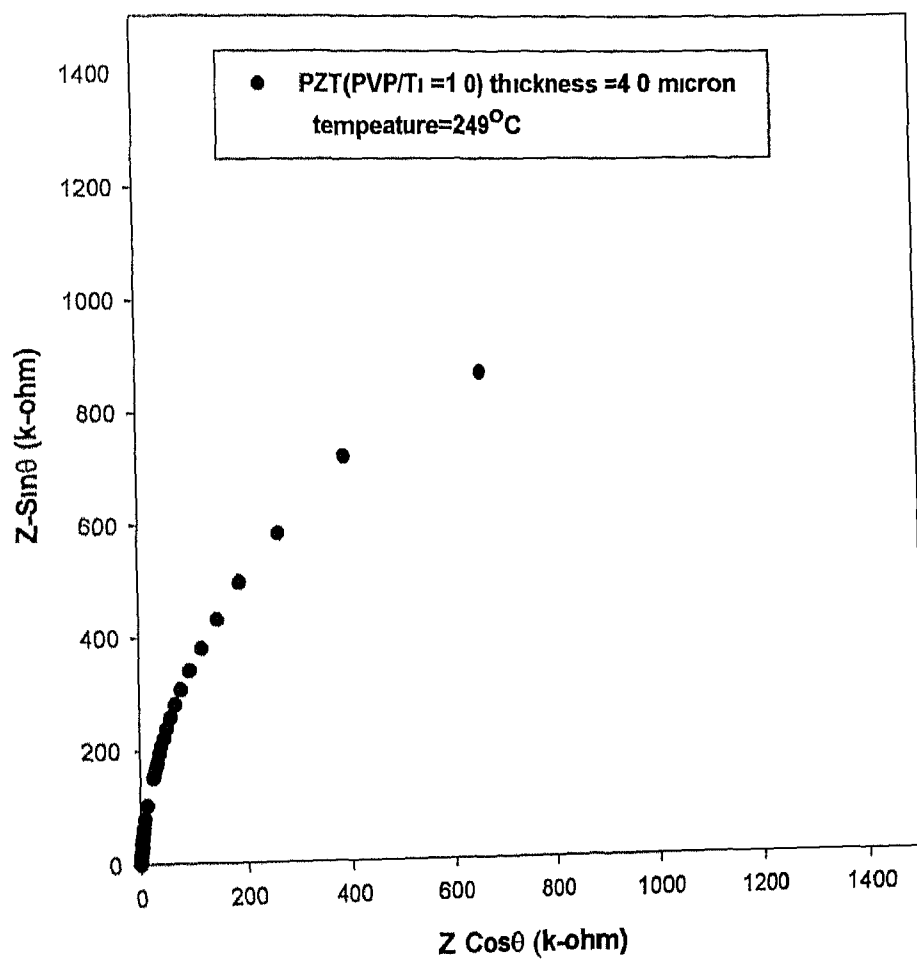**Fig3 22 Cole Cole plot for PZT(PVP/Ti=1 5)**
(Sol concentration =0 63M)

Fig 3 23 Cole Cole plot for PZT(PVP/Ti =1 0)
(Sol Concentration =0 63M)

Conclusion

1   The primary objective of this work was to prepare thick crack free film of PZT by the sol gel process It has been found that by additive of the polymer polyvinyl pyrrolodone to the sol, film of thickness of 0.5 μm can be obtained in single coating Film up to 11 μm thick have been obtained by 21 coatings Without PVP, a film of 1.0 μm thickness is obtained with difficulty using at least 25 coatings Acetic acid is used during sol gel preparation of PZT films It has been found in the present work that the amount of acetic acid drastically influence the dielectric properties of the films specifically a very high dielectric loss is obtained in these films Also an anomaly in several properties is noted at a PVP/Ti concentration of 0.5 Several other conclusion from the study are as

2   Thicknesses of the films are found to increases with initial addition of PVP and become nearly constant, as the PVP concentration is further increased

3   The dielectric constant of the films increases rapidly on increasing the PVP (monomer)/Ti molar ratio from 0 to 0.5 and then becomes nearly constant

4   Large variation in dielectric constant is observed in the frequency range from1 to 20 KHz The dielectric constant is found to be nearly constant at frequency >30 KHz but increases steeply as the frequency is decreased

5   Dielectric loss also decreases with increase in the frequency Large variation in dielectric loss has been observed in 1.0 to 20 KHz frequency range

6   The films have significantly higher dielectric loss when the concentration of acetic acid is large

7   The dielectric constant of the films are found to increases with the film thickness from 150 for 4 μm   thick film to ~350 for 11 5 μm   thick film

8   The activation energy of grain conduction is 10 to 12 kJ/mole for most of the PZT samples except for the sample with PVP/TI ratio of 0 2 and 2 0 for which activation energies are 28 8 and 4 3 kJ/mole

The activation energy for the grain boundary conduction tends to have values which vary between 3 0 to 25 0 kJ/mole

However, these values are tentative and need to be confirmed

9   For films prepared using the higher concentration sol (0 63M) single incomplete arcs are obtained in the Cole Cole plots indicating that the relaxation processes are slow in the films

Future work

In the present work the aim was used to prepare thick films by addition of PVP The study should be extended further by investigating the microstructure ferroelectric properties, C V and I V characteristics of the films The drastic effect of acetic acid on the films properties is of special interest and should be further investigated

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Appendix

Appendix (1) Silicon wafer cleaning

RCA cleaning is used to clean silicon wafer This process consists of the following steps

(A) Standard cleaning (1)

A mixture of distilled water unstabhsed H_2O_2 (30%) and NH_4OH (27%) in ratio of 5 1 1 is used This solution etches the thermally grow oxide at the rate of 0 1 nm /min Also it removes all the organic contamination Si wafer is submerged in this standard cleaning (1) solution at temperature $75^0C - 80^0C$ for 30 min After cleaning Si wafer is transferred to another solution of HF and distilled water mixture in ratio of 50 1

(B)(HF –H_2O) mixture

During cleaning by slandered solution (1) some native oxide (SiO_2) is formed To remove this native oxide, HF H_2O (50 1) mixture is used Silicon wafer is dipped in HF solution then rinsed in distilled water for 15 min Here silicon dioxide (SiO_2) is removed and silicon surface is exposed After cleaning, Si wafer is transferred to standard cleaning solution (2)

(C) Standard cleaning solution (2)

It is a mixture of H_2O, H_2O_2 and HCl in ratio of 6 1 1 This mixture is used to remove the heavy metal and ionic contamination The processing temperature should be around 75^0C to 80^0C After cleaning the Si (100) substrate, it is dried in open air and kept in small plastic container This cleaned substrate is used as substrate for film deposition

In present work the above process was not used Instead of the Si(100) wafer was cut by diamond tool and cleaned with a mixture of HF and distilled water(50 1) For 20 minutes rinsed in distilled water and dried

Appendix (2) Calculation of the amount of ingredients used for the preparation of sol for ZrO_2coating

(1) Zr n –propoxide

We have to prepare a solution of 0 5 M and total solution volume should be 20 ml

1000 ml solution requires = 0 5 mole

$$20 \text{ ml solution requires} = \frac{0\ 5}{1000} * 20 \text{ mole}$$

$$= 0\ 01 \text{ Mole}$$

$$0\ 01 = \frac{Weight\_of\_Zr(n-propoxide)}{327\ 57}$$

Wt of Zr(n propoxide) = Volume*Density

$$\text{Volume of Zr(n Propoxide)} = \frac{3\ 2757}{1\ 05}$$

$$= 3\ 119 \text{ ml}$$

(B) DEA (Diethanal amine)

Molar concentration ratio of DEA and Zr(n propoxide) should be 0 74

So concentration of DEA = 0 74*0 5 M

$$=0\ 37\ M$$

1000 ml of solution requires $=0\ 37$ mole of DEA

20 ml of solution requires DEA $=\dfrac{0\ 37}{1000}*20$ mole

$$=0\ 0074\ \text{mole}$$

$$\dfrac{Weight\_of\_DEA}{Molecular\_weight\_Of\_DEA}=0\ 0074$$

Wt of DEA $=0\ 0074*105\ 14$

$$=0\ 7780\ \text{gm}$$

Volume of DEA $=\dfrac{Weight\_of\_DEA}{Density\_of\_DEA}$

$$=\dfrac{0\ 7780}{1\ 098}\ \text{ml}$$

$$=0\ 7085\ \text{ml}$$

(C) For water

Water concentration$=0\ 9\ M$

1000 ml solution contain $=0\ 9$ mole of H_2O

20 mole of solution contain $=\dfrac{0\ 9}{1000}*20$ mole

$$=0\ 018\ \text{mole of } H_2O$$

Weight of water $=$ Mole of H_2O * molecular wt of water

$$=0\ 018*18$$

$$=0\ 324\ \text{gm}$$

Volume of water $=\dfrac{Weight\_of\_water}{Density\_of\_water}$

$$= \frac{0\ 324}{1\ 00} ml$$

$$= 0\ 324\ ml$$

(D) For isopropyl alcohol

Total volume of the sol is made up to by adding isopropyl alcohol

Volume of isopropyl alcohol = 20 –[Volume of Zr(n Propoxide + DEA+ water]

$$= 20 -[3\ 119 + 0\ 708 + 0\ 324]\ ml \Rightarrow 15\ 87$$

Appendix (3): Calculation of the amount of ingredients used for the preparation of sol for $Pb_{1\ 05}(Zr_{0\ 535}Ti_{0\ 465})O_3$ (PZT)coating

$Pb_{1\ 05}(Zr_{0\ 535}Ti_{0\ 465})O_3$ 0 01M sol preparation

(1) PVP (poly vinylpyrrolidon)

In present work, different ratios of PVP/Ti were used Thus for a 1 1 ratio the amount of PVP for the composition $Pb_{1\ 05}(Zr_{0\ 535}Ti_{0\ 465})O_3$ to prepare a sol of concentration 0 01M is 0 516 gm

Molecular wt of poly vinylpyrrolidon monomer is =111 gm

Since mole ratio of PVP and Ti(n propoxie)is 1 1

Mole of Ti in for $Pb_{1\ 05}(Zr_{0\ 535}Ti_{0\ 465})O_3$ 0 01M sol =mole of PVP

$$0\ 01 * 0\ 465 = \frac{Weight\_of\_PVP}{Mol\_wt\_of\_PVP}$$

Amount of PVP = 0 01*0 465*111

=0 516 gm of PVP(monomer)

(2) Pb(CH₃COO)₂ 3H₂O

Mole of Pb in Pb₁.₀₅(Zr₀.₅₃₅Ti₀.₄₆₅)O₃ 0 01M sol =Mole of Pb(CH₃COO)₂ 3H₂O

$$0\ 01*1\ 05 = \frac{Amountt\_of\_Pb(CH_3COOH)_2\ 3H_2O}{Mol\ Wt\_of\_Pb(CH_3COOH)_2\ 3H_2O}$$

Amount of Pb(CH₃COO)₂ 3H₂O=0 01*1 05*379 33

=3 98 gm

As the yield of Pb(CH₃COO)₂ 3H₂O is 99 97%, the actual amount $= \frac{100}{99\ 97}*3\ 98$

(3) Zr(n propoxide)

Mole of Zr in Pb₁.₀₅(Zr₀.₅₃₅Ti₀.₄₆₅)O₃ 0 01M sol =mole of Zr(n Propoxide)

$$0\ 01*0\ 535 = \frac{Wt\_of\_Zr(n-\Pr opoxide)}{Mol\ Wt\_of\_Zr(n-\Pr opoxide)}$$

Amount of Zr(n propoxide) =0 01*0 535*327 58

=1 753 gm

As the yield of Zr(n propoxide) is74 88

So actual amount of Zr(n propoxide) $= \frac{100}{74\ 88}*1\ 752$ gm

=2 34 gm

(4) Ti(4 butoxide)

Mole of Ti in Pb₁.₀₅(Zr₀.₅₃₅Ti₀.₄₆₅)O₃ 0 01M sol =Mole of Ti(n butoxide)

$$0\ 01*0\ 465 = \frac{Wt\_of\_Ti(4-butoxide)}{Mol Wt\_of\_Ti(4-butoxide)}$$

Amount of Ti(4 butoxide) = 0 01*0 465*340 gm

$$= 1\ 58 gm$$

As yield of Ti(4 butoxide) = 99 98%

So actual amount of Ti(4 butoxide) $= \dfrac{100}{99\ 98} * 1\ 58$

$$= 1\ 582\ gm$$

(5) For CH₃COOH

The ratio Pb(CH₃COOH)₂ 3H₂O and CH₃COOH equal to 1 4 used in present work

$$\frac{MolWt\_of\_Pb(CH_3COOH)_2\ 3H_2O}{MolWt\_of\_(CH_3COOH)} = \frac{1}{4}$$

$$\frac{\dfrac{Amount\_of\_Pb(CH_3COOH)_2\ 3H_2O}{MolWt\_of\_Pb(CH_3COOH)_2\ 3H_2O}}{\dfrac{Amount\_of\_CH_3COOH}{MolWt\_of\_CH_3COOH}} = \frac{1}{4}$$

$$\frac{\dfrac{3\ 99}{379\ 33}}{\dfrac{Amount\_of\_CH_3COOH}{60\ 05}} = \frac{1}{4}$$

Amount of CH₃COOH = 2 52 gm

In addition to this, 1 2 gm acetic acid was also added to the mixture of alkoxide during ol preparation as depicted in the flow sheet Additional amount of acetic acid was used or dilution of the prepared sol as described in the text

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